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### Responses of soil carbon sequestration to climate-smart agriculture practices: A meta-analysis

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## 33 Abstract

34 Climate-smart agriculture (CSA) management practices (e.g., conservation tillage, cover crops,  
35 and biochar applications) have been widely adopted to enhance soil organic carbon (SOC)  
36 sequestration and to reduce greenhouse gas emissions while ensuring crop productivity. However,  
37 current measurements regarding the influences of CSA management practices on SOC  
38 sequestration diverge widely, making it difficult to derive conclusions about individual and  
39 combined CSA management effects and bringing large uncertainties in quantifying the  
40 potential of the agricultural sector to mitigate climate change. We conducted a meta-analysis of  
41 3,049 paired measurements from 417 peer-reviewed articles to examine the effects of three  
42 common CSA management practices on SOC sequestration as well as the environmental  
43 controlling factors. We found that, on average, biochar applications represented the most  
44 effective approach for increasing SOC content (39%), followed by cover crops (6%) and  
45 conservation tillage (5%). Further analysis suggested that the effects of CSA management  
46 practices were more pronounced in areas with relatively warmer climates or lower nitrogen  
47 fertilizer inputs. Our meta-analysis demonstrated that, through adopting CSA practices, cropland  
48 could be an improved carbon sink. We also highlight the importance of considering local  
49 environmental factors (e.g., climate and soil conditions and their combination with other  
50 management practices) in identifying appropriate CSA practices for mitigating greenhouse gas  
51 emissions while ensuring crop productivity.

52

## 53 1. Introduction

54 Soil organic carbon (SOC) is a primary indicator of soil health and plays a critical role in food  
55 production, greenhouse gas balance, and climate mitigation and adaptation (Lorenz & Lal, 2016).  
56 The dynamic of agricultural SOC is regulated by the balance between carbon inputs (e.g., crop  
57 residues and organic fertilizers) and outputs (e.g., decomposition and erosion) under long-term  
58 constant environment and management conditions. However, this balance has been dramatically  
59 altered by climate change, which is expected to enhance SOC decomposition and weaken the  
60 capacity of soil to sequester carbon (Wiesmeier *et al.*, 2016). Generally, agricultural soils contain  
61 considerably less SOC than soils under natural vegetation due to land conversion and cultivation  
62 (Hassink, 1997; Poeplau & Don, 2015), with a potential to sequester carbon from the atmosphere

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63 through proper management practices (Lal, 2018). Therefore, it is crucial to seek practical  
64 approaches to enhance agricultural SOC sequestration without compromising the provision of  
65 ecosystem services such as food, fiber or other agricultural products.

66 Climate-smart agriculture (CSA) has been promoted as a systematic approach for  
67 developing agricultural strategies to ensure sustainable food security in the context of climate  
68 change (FAO, 2013). One of the major objectives of CSA is to reduce greenhouse gas emissions  
69 and enhance soil carbon sequestration and soil health (Campbell *et al.*, 2014; Lipper *et al.*, 2014).  
70 The key for sequestering more carbon in soils lies in increasing carbon inputs and reducing  
71 carbon outputs. Frequently recommended approaches for SOC sequestration include adding  
72 cover crops into the crop rotation, applying biochar to soils, and minimizing soil tillage (i.e.,  
73 conservation tillage). In recent decades, these management practices have been applied in major  
74 agricultural regions globally, and a large number of observations/measurements have been  
75 accumulated (e.g., Chen *et al.*, 2009; Spokas *et al.*, 2009; Clark *et al.*, 2017).

76 Several mechanisms have been proposed to explain the positive effects of CSA  
77 management practices on SOC sequestration. For example, conservation tillage reduces soil  
78 disturbance and the soil organic matter decomposition rate (Salinas-Garcia *et al.*, 1997) and  
79 promotes fungal and earthworm biomass (Lavelle, 1999; Briones & Schmidt, 2017), thereby  
80 improving SOC stabilization (Liang & Balsler, 2012). Cover crops provide additional biomass  
81 inputs from above- and belowground (Blanco-Canqui *et al.*, 2011), increase carbon and nitrogen  
82 inputs, and enhance the biodiversity of agroecosystems (Lal, 2004). Moreover, cover crops can  
83 promote soil aggregation and structure (Sainju *et al.*, 2003), therefore indirectly reduce carbon  
84 loss from soil erosion (De Baets *et al.*, 2011). Biochar amendments affect SOC dynamics  
85 through two pathways: (1) improving soil aggregation and physical protection of aggregate-  
86 associated SOC against microbial attack; (2) increasing the pool of recalcitrant organic substrates  
87 resulting in a low SOC decomposition rate and substantial negative priming (Zhang *et al.*, 2012;  
88 Du *et al.*, 2017a, Weng *et al.*, 2017).

89 Although these CSA management practices have been widely used to enhance soil health  
90 (e.g., Thomsen & Christensen, 2004; Denef *et al.*, 2007; Fungo *et al.*, 2017; Weng *et al.*, 2017),  
91 their effects on SOC sequestration are variable and highly dependent on experiment designs and  
92 site-specific conditions such as climate and soil properties (Poeplau & Don, 2015; Abdalla *et al.*,

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93 2016; Liu *et al.*, 2016; Paustian *et al.*, 2016). The potential to sequester soil carbon varies greatly  
94 among CSA practices, which has not been well addressed. Some studies even suggested negative  
95 effects of CSA management practices on SOC (e.g., Tian *et al.*, 2005; Liang *et al.*, 2007). Also,  
96 most prior quantitative research focused on the effects of a single CSA practice on SOC (e.g.,  
97 Poeplau & Don, 2015; Abdalla *et al.*, 2016; Liu *et al.*, 2016), very few studies estimated the  
98 combined effects of diverse CSA and conventional management practices. Some recent studies  
99 reported that a combination of cover crops and conservation tillage could significantly increase  
100 SOC compared to a single management practice (Blanco-Canqui *et al.*, 2013; Ashworth *et al.*,  
101 2014; Higashi *et al.*, 2014; Duval *et al.*, 2016). For example, Sainju *et al.* (2006) suggested that  
102 soil carbon sequestration may increase 0.267 Mg C ha<sup>-1</sup> yr<sup>-1</sup> under a combination of no-till and  
103 cover crop practices, where the latter was a mixed culture of hairy vetch (*Vicia villosa*) and rye  
104 (*Secale cereale*); in contrast, a carbon loss of 0.967 Mg C ha<sup>-1</sup> yr<sup>-1</sup> occurred when only no-till  
105 was used. Agegnehu *et al.* (2016) reported that 1.58% and 0.25% more SOC were sequestered in  
106 the mid-season and end-season, respectively, under conservation tillage when biochar was also  
107 applied. These findings highlight the importance of quantitatively evaluating the combined  
108 effects of multiple CSA management practices (including the combination of CSA and  
109 conventional management practices) on SOC sequestration under different climate and soil  
110 conditions.

111 This study aims to fill the above-mentioned knowledge gap through a meta-analysis to  
112 simultaneously examine the effects of three widely used CSA management practices (i.e.,  
113 conservation tillage [no-till, NT; and reduced tillage, RT], cover crops, and biochar) on SOC  
114 sequestration (Fig. 1). Our scientific objectives were to: (1) evaluate and compare the effects of  
115 conservation tillage, cover crops, and biochar use on SOC; (2) examine how environmental  
116 factors (e.g., soil properties and climate) and other agronomic practices (e.g., nitrogen  
117 fertilization, residue management, irrigation, and crop rotation) influence SOC in these CSA  
118 management environments.

119 ***[Insert Figure 1]***

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## 120 2. Materials and methodology

### 121 2.1. Data collection

122 We extracted data from 417 peer-reviewed articles (297 for conservation tillage, 64 for cover  
123 crops, and 56 for biochar) published from 1990 to May 2017 (Data S1). Among all publications,  
124 113 for conservation tillage, 32 for cover crops, and 7 for biochar were conducted in the U.S. All  
125 articles were identified from the Web of Science. The search keywords were “soil organic carbon”  
126 and “tillage” for conservation tillage treatments; “soil organic carbon” and “cover crop” for  
127 cover crop treatments; and “soil organic carbon” and “biochar” for biochar treatments. All  
128 selected studies meet the following inclusion criteria: (1) SOC was measured in field  
129 experiments (to estimate the potential of biochar to increase soil carbon, we also included soil  
130 incubation and pot experiments with regard to biochar use); (2) observations were conducted on  
131 croplands excluding orchards and pastures; (3) ancillary information was provided, such as  
132 experiment duration, replication, and sampling depth; and (4) other agronomic management  
133 practices were included besides the three target management practices in this study. We  
134 considered conventional tillage as the control for NT and RT. Experiments that eliminated any  
135 tillage operation were grouped into the NT category, and experiments using tillage with lower  
136 frequency or shallower till-depth or less soil disturbance in comparison to the paired  
137 conventional tillage (e.g., moldboard plow and chisel plow) were grouped into the RT category.  
138 Likewise, “no cover crop” and “no biochar” were treated as control experiments relative to cover  
139 crop and biochar treatments, respectively. We only considered studies that viewed cover crops as  
140 treatments and fallow (or weeds) as controls.

141 Soil organic carbon data were either derived from tables or extracted from figures using  
142 the GetData Graph Digitizer software v2.26 (<http://getdata-graph-digitizer.com/download.php>).  
143 Other related information from the selected studies was also recorded, including location (i.e.,  
144 longitude and latitude), experiment duration, climate (mean annual air temperature and  
145 precipitation), soil properties (texture, depth, and pH), and other agronomic practices (crop  
146 residues, nitrogen fertilization, irrigation, and crop rotation). The study durations were grouped  
147 into three categories: short ( $\leq 5$  years), medium (6-20 years), and long term ( $> 20$  years). Climate  
148 was grouped according to the aridity index published by UNEP (1997) as either arid ( $\leq 0.65$ ) or  
149 humid ( $> 0.65$ ). Study sites were grouped into cool (temperate and Mediterranean climates) and

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150 warm zones (semitropical and tropical climates) (Shi *et al.*, 2010). Soil texture was grouped as  
151 silt loam, sandy loam, clay and clay loam, loam, silty clay and silty clay loam, and loamy sand  
152 according to the USDA soil texture triangle. Soil depth was grouped as 0-10 cm, 10-20 cm, 20-  
153 50 cm, and 50-100 cm. Soil pH was grouped as acidic (< 6.6), neutral (6.6-7.3), and alkaline (>  
154 7.3). Crop residue management was grouped as “residue returned” and “residue removed.” We  
155 only included those studies that used the same residue management in the control and treatment  
156 groups. Similarly, nitrogen fertilization was grouped into no addition, low (1-100 kg N ha<sup>-1</sup>),  
157 medium (101-200), and high levels (> 200). Irrigation management was grouped as irrigated or  
158 rainfed. Crop sequence was grouped as rotational or continuous crops (including crop-fallow  
159 systems). We also estimated the response of SOC in the whole-soil profiles (from the soil surface  
160 to 120 cm, with an interval of 10 cm) to CSA management practices.

161 The standard deviation (SD) of selected variables, an important input variable to the  
162 meta-analysis, was computed as  $SD = SE \times \sqrt{n}$ , where SE is the standard error and  $n$  is the  
163 number of observational replications. If the results of a study were reported without SD or SE,  
164 SD was calculated based on the average coefficient of variation for the known data. Publication  
165 bias was analyzed by the method of fail-safe number, which suggests that the meta-analysis can  
166 be considered robust if the fail-safe number is larger than  $5 \times k + 10$  (where  $k$  is the number of  
167 observed studies) (Rothstein *et al.*, 2006).

## 168 2.2. Meta-analysis

169 A random-effect model of meta-analysis was used to explore environmental and management  
170 variables that might explain the response of SOC to CSA management practices. The data  
171 analysis was performed in R (R Development Core Team 2009). The response ratio (RR) was  
172 defined as the ratio between the outcome of CSA management practices and that of the control  
173 group. The logarithm of RR ( $\ln RR$ ) was calculated as the effect size of each observation  
174 (Hedges *et al.*, 1999, Equation (1)):

$$175 \quad \ln RR = \ln (\bar{X}_t / \bar{X}_c) = \ln \bar{X}_t - \ln \bar{X}_c \quad (1)$$

176 where  $\bar{X}_t$  and  $\bar{X}_c$  are SOC values in the treatment and control groups, respectively. The variance  
177 ( $v$ ) of  $\ln RR$  was computed as:

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178 
$$v = \frac{S_t^2}{n_t X_t^2} + \frac{S_c^2}{n_c X_c^2} \quad (2)$$

179 where  $S_t$  and  $S_c$  are the standard deviations of the treatment and control groups, respectively,  
180 while  $n_t$  and  $n_c$  are the sample sizes of the treatment and control, respectively.

181 The weighting factor ( $w$ ), as the inverse of the variance, was computed for each  
182 observation to obtain a final weighting factor ( $w'$ ), which was then used to calculate the mean  
183 effect size ( $RR_{++}$ ). The equations were:

184 
$$w = 1 / v \quad (3)$$

185 
$$w' = w / n \quad (4)$$

186 
$$RR_{++} = \frac{\sum_i \ln RR_i}{\sum_i w'_i} \quad (5)$$

187 where  $\ln RR' = w \ln RR$  is the weighted effect size,  $n$  is the total number of observations per  
188 study, and  $i$  is the  $i$ th observation.

189 The 95% confidence intervals (CI) of  $\ln RR_{++}$  were computed to determine statistical  
190 significance. The comparison between treatment and control was considered significant if the 95%  
191 CIs did not overlap zero (vertical lines in the graphs). The percent change was transformed [  
192  $(e^{RR_{++}} - 1) \times 100\%$ ] to explain the response of the estimated CSA management practices.

### 193 **3. Results**

#### 194 **3.1 SOC responses to conservation tillage, cover crops, and biochar**

195 Biochar applications enhanced SOC storage by 39% (28% in the field and 57% in incubation and  
196 pot experiments, Fig. S1), representing the most effective practice, followed by cover crops (6%)  
197 and conservation tillage (5%) (Fig. 2). Cover crop species had a pronounced positive effect on  
198 SOC sequestration (Fig. S1), ranging from 4% for non-leguminous cover crops to 9% for  
199 leguminous cover crops. When investigating different types of conservation tillage, NT and RT  
200 had similar effects on SOC (approximately 8% increase). All results were statistically significant  
201 (Fig. 2). Theoretically, the combination of CSA management practices may result in greater or  
202 lesser effects on soil sequestration compared to single CSA management practice. However, if  
203 synergistic effects were the prevalent interactions, this combination might potentially enhance

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204 carbon accumulation (e.g., over 50% increase in SOC), which is subject to further investigation  
205 in field experiments. Across the whole dataset we compiled, the SOC varied widely in each CSA  
206 treatment (Fig. S2). We calculated the distribution of the data points (the ratio of SOC of each  
207 treatment to that of the corresponding control, i.e., NT/RT vs. conventional tillage, cover crops  
208 vs. no cover crop, and biochar use vs. non-biochar; Fig. S2). Most of the studies used in this  
209 meta-analysis reported positive responses of SOC to NT, RT, cover crops, and biochar treatment  
210 (60%, 65%, 68%, and 91%, respectively). The SOC change rates were  $0.38 \pm 0.71 \text{ Mg ha}^{-1} \text{ yr}^{-1}$   
211 ( $n=56$ ) and  $-0.29 \pm 0.79 \text{ Mg ha}^{-1} \text{ yr}^{-1}$  ( $n=30$ ) in NT and RT systems, respectively (Fig. S3). We did  
212 not calculate SOC sequestration rates for other treatments (i.e., cover crops and biochar) due to  
213 the lack of some ancillary information (e.g., bulk density).

214 *[Insert Figure 2]*

### 215 **3.2 Effects of CSA management practices in different climate zones**

216 Overall, CSA management practices sequestered more SOC in arid areas than in humid areas  
217 (Fig. 3a). Biochar and cover crops increased 12% (38% vs. 26%) and 3% (9% vs. 6%) more  
218 SOC in arid areas, respectively, compared to humid areas. In comparison, the NT-induced SOC  
219 uptake was slightly higher in arid areas than that in humid areas (9% and 8%, respectively).  
220 However, the RT-induced SOC increment in arid areas was two times greater than that in humid  
221 areas. Our further analysis suggested that CSA management practices significantly increased  
222 SOC in both cool and warm climate zones with diverse responses (Fig. 3b). For example, in  
223 warm areas, biochar applications only increased SOC by half of the enhancement observed in  
224 cool areas. Cover crops increased SOC by 15% in warm areas, three times larger than that in  
225 cool areas. In warm areas, NT increased SOC by 15% compared to 8% in cool areas. Reduced  
226 tillage increased SOC by 7% and 6% in warm and cool areas, respectively.

227 *[Insert Figure 3]*

### 228 **3.3 Effects of CSA management practices with different soil properties**

229 The effects of CSA management practices on SOC were strongly influenced by soil texture (Fig.  
230 4). Biochar applications increased SOC by 63, 62%, and 52% in silty clay and silty clay loam  
231 soils, loam soils, and loamy sand soils, respectively. While relatively lower soil carbon uptakes  
232 under biochar applications were found in clay loam and clay soils (32%), silt loam soils (35%),

---

233 and sandy loam soils (34%). Cover crops increased SOC by 4%, 6%, 7%, and 6% in clay loam  
234 and clay soils, silt loam soils, loam soils, and sandy loam soils, respectively. No-till increased  
235 SOC by 16% in silty clay and silty clay loam soils, compared to 12% in sandy loam soils and 7%  
236 in loamy sand soils. Reduced tillage increased SOC by 21%, 7%, and 15% in silty clay and silty  
237 clay loam soils, loam soils, and loamy sand soils, respectively. Overall, cover crops sequestered  
238 more carbon in coarse-textured soils than in fine-textured soils. In contrast, NT and RT increased  
239 SOC more in fine-textured soils than in coarse-textured soils. No obvious relationship was found  
240 between biochar use and soil textures.

241 *[Insert Figure 4]*

242 The positive effects of CSA management practices on SOC decreased with soil depth  
243 (Fig. 5). Biochar significantly increased SOC by 41% and 14% in the 0-10 cm and 0-30 cm soil  
244 layers, respectively (Table S1). Cover crops significantly increased SOC by 9%, 3%, and 9% in  
245 the 0-10 cm, 10-20 cm, and 20-50 cm depth ranges, respectively. Further analysis showed that  
246 cover crops could increase SOC (5%) in the entire 0-70 cm soil profile (Table S1). Both NT and  
247 RT could significantly increase SOC most at 0-10 cm depth (22% and 17%, respectively).  
248 Although reduced SOC was observed in the 10-20 cm and 20-50 cm soil layers (-4% and -10%,  
249 respectively), NT could still enhance SOC sequestration in the entire soil profile up to 120 cm  
250 (Table S1). In comparison, RT could increase SOC in the 0-70 cm soil profile (Table S1)  
251 although decreased soil carbon (not statistically significant) was observed in the 10-50 cm soil  
252 layer (Fig. 5).

253 *[Insert Figure 5]*

254 All CSA management practices except RT positively influenced the SOC pool regardless  
255 of soil pH. The management-induced SOC uptake was generally higher in alkaline soils than in  
256 acid soils (Fig. 6). Biochar use increased SOC by 65%, 35%, and 28% in alkaline, neutral, and  
257 acid soils, respectively. Cover crops increased SOC by 15% in neutral soils, followed by alkaline  
258 (9%) and acid soils (6%). No-till increased SOC by 6% in acid soils and 13% in alkaline soils.  
259 The SOC increased by RT was greater in alkaline soils (9%) than acid soils (6%), but RT had no  
260 significant influence on SOC in neutral soils.

261 *[Insert Figure 6]*

---

### 262 3.4 Combined effects of experiment duration and other agronomic practices

263 The CSA management practices are generally applied together with other agronomic practices  
264 such as residue return, nitrogen fertilizer use, and irrigation. These agronomic practices may  
265 interact with the CSA management practices with positive or negative effects on the capacity of  
266 soils to sequester carbon. In this study, we considered experiment duration and four other  
267 agronomic practices, including residue return, nitrogen fertilization, irrigation, and crop sequence,  
268 to quantify these effects.

269 Our results demonstrated that the influences of three CSA management practices on SOC  
270 varied with experiment duration. Biochar amendments significantly increased SOC by 45% and  
271 36% in short-term and medium-term experiments, respectively. Cover crops significantly  
272 increased SOC by 5%, 11%, and 20% in the short-term, medium-term, and long-term  
273 experiments, respectively (Fig. 7). No-till significantly increased SOC by 13% in the long-term  
274 experiments, followed by medium-term (7%) and short-term (6%). Reduced tillage increased  
275 SOC by 12% in long-term studies, followed by medium-term (9%) and short-term experiments  
276 (3%). The average durations differed in each group (Table S2), which may influence the effect of  
277 CSA management practices on SOC. When excluding short and medium experiment durations ( $\leq$   
278 20 years) and shallow sampling ( $< 20$  cm), RT significantly increased SOC by 14%, while NT  
279 had no significant effect on SOC (Fig. S4).

280 *[Insert Figure 7]*

281 When crop residues were returned, conservation tillage and cover crops significantly  
282 increased SOC: 9% for NT, 6% for cover crops, and 5% for RT (Fig. 8). However, if crop  
283 residues were removed, neither cover crops nor RT had a significant effect on SOC, although  
284 there was a significant increase in SOC under NT (5%).

285 *[Insert Figure 8]*

286 Our results suggested that nitrogen fertilizer use could alter the magnitude of soil carbon  
287 uptake induced by CSA management practices. Biochar boosted the most SOC among CSA  
288 management practices regardless of nitrogen fertilizer levels, with the strongest effects under the  
289 low-level nitrogen inputs, followed by the high-level (38%), medium-level (29%), and no  
290 nitrogen fertilizer use (27%) (Fig. 9). Cover crops increased SOC by 6% under both low-level

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291 and medium-level nitrogen inputs, slightly higher than that under the high-level nitrogen  
292 fertilizer use (3%). No-till tended to sequester more soil carbon when nitrogen fertilizer input  
293 was relatively lower (11%, 8%, and 6% for low-level, medium-level, and high-level nitrogen  
294 fertilization, respectively). While RT increased SOC by 13% at the medium-level nitrogen  
295 fertilizer rate, approximately two times larger than those under the low-level and high-level  
296 nitrogen fertilizer use (Fig. 9).

297 *[Insert Figure 9]*

298 When investigating the irrigation effects, our results suggested that biochar markedly  
299 stimulated SOC increases in irrigated croplands (49%), three times higher than those under  
300 rainfed condition. Similarly, NT increased SOC by 15% in irrigated croplands, twice as much  
301 soil carbon as that in rainfed croplands. Cover crops increased SOC by 7% and 4% in irrigated  
302 and rainfed croplands, respectively. In contrast, the RT-induced SOC increase was 16% under  
303 the rainfed condition, 5% higher than that in irrigated croplands (Fig. 10a).

304 The CSA management practices significantly promoted SOC uptakes in both rotational  
305 and continuous cropping systems (Fig. 10b). Specifically, biochar amendments enhanced SOC  
306 by 52% in rotational cropping systems, much higher than that in the continuous cropping system  
307 (31%). While SOC uptakes induced by NT and RT showed no obvious differences in the  
308 rotational and continuous cropping systems (9% and 8% vs. 8% and 7%). Cover crops increased  
309 SOC by 4% in rotational cropping systems, lower than that in continuous cropping systems (8%).

310 *[Insert Figure 10]*

### 311 **3.5 Combinations of CSA management practices**

312 Our results demonstrated that combining different CSA management practices might  
313 significantly enhance SOC sequestration. In warm regions, SOC increased by 13% with the  
314 combination of conservation tillage and cover crops (Fig. 11). In loamy sand and sandy clay  
315 loam soils, associated SOC uptakes increased to 31% and 21%, respectively. A similar effect  
316 was also observed in medium-term experiments. However, in clay soils, the combination of  
317 cover crops and conservation tillage significantly decreased SOC by 19%.

318 *[Insert Figure 11]*

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## 319 4. Discussion

### 320 4.1 Effects of CSA management practices on SOC

321 Common approaches for enhancing SOC focus on increasing carbon inputs, decreasing losses, or  
322 simultaneously affecting both inputs and losses. All CSA management practices discussed here,  
323 i.e., biochar, cover crops, and conservation tillage, increase soil carbon sequestration to different  
324 extents. For example, SOC enhancement by biochar applications can reach up to 40% (Liu *et al.*,  
325 2016), while conservation tillage and cover crops increase SOC by only 3-10% (Luo *et al.*, 2010;  
326 Abdalla *et al.*, 2016; Du *et al.*, 2017b; Zhao *et al.*, 2017) and ~10% (Aguilera *et al.*, 2013),  
327 respectively. Our results agree with these earlier findings: biochar use increased SOC by 39%,  
328 followed by cover crops (6%) and conservation tillage (5%). The discrepancies among various  
329 CSA management practices in enhancing SOC fundamentally lie in their functional mechanisms.  
330 Biochar addition, with a low turnover rate, contributes directly to soil carbon storage and  
331 indirectly decreases native SOC decomposition rates by negative priming (Wang *et al.*, 2016).  
332 Cover crops are green manure that increases carbon inputs to the soil and subsequent SOC  
333 (Poeplau & Don, 2015). Conservation tillage practices may not necessarily add carbon; their  
334 contribution is primarily accomplished by protecting SOC from decomposition and erosion (Six  
335 *et al.*, 2000; Lal, 2005). Additionally, all three CSA management practices can potentially  
336 improve soil properties, thereby stimulating more carbon inputs from residue return and  
337 rhizodeposition due to promoted plant growth, and reducing carbon losses via decreasing  
338 leaching and erosion. However, the effectiveness of these practices on SOC sequestration and the  
339 mechanisms involved vary with environmental factors and other agronomic practices.

### 340 4.2 Environmental control in CSA management practices

341 Environmental factors such as climate and soil properties may influence carbon inputs to the soil  
342 and affect the processes that regulate carbon loss, considering that all CSA practices are  
343 implemented in site-specific climate and soil conditions. The effects of CSA management  
344 practices on SOC could be biased by environmental factors.

#### 345 4.2.1 Climate variability

346 Climate is one of the major driving forces that regulate SOC distribution. On average, SOC  
347 accumulation is greater than decomposition in wet areas than in dry and warm regions (Jobbágy  
348 & Jackson, 2000). Soil carbon is positively related to precipitation and negatively correlated with

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349 temperature (Rusco *et al.*, 2001), with the former correlation tending to be stronger (Martin *et al.*,  
350 2011; Meersmans *et al.*, 2011). High precipitation is usually associated with abundant growth  
351 and high rates of carbon inputs to soils (Luo *et al.*, 2017), while low temperatures may  
352 remarkably reduce microbial activity, resulting in low rates of organic matter decomposition and  
353 measurable amounts of SOC accumulation (Castro *et al.*, 1995; Garcia *et al.*, 2018). Biochar  
354 applications result in greater SOC accumulation in arid/cool areas than in humid/warm  
355 environments (Fig. 3), probably due to the porous structure and the capacity of biochar to  
356 promote greater soil water retention (Karhu *et al.*, 2011; Abel *et al.*, 2013). It is not clear why  
357 biochar has a greater impact on SOC accrual in cool regions. A possible explanation is that high  
358 soil temperatures may promote biochar decomposition and oxidation (Cheng *et al.*, 2008).

359 Cover crops and NT increased SOC with no significant difference between aridity  
360 conditions (Table 1), although they performed better at storing SOC in arid areas (Fig. 3a). This  
361 result suggests that arid-region soils have a high potential to store carbon when using proper  
362 management practices (Tondoh *et al.*, 2016). In addition, cover crops and NT can enhance  
363 carbon sequestration more in warm areas than in cool areas. Temperature could affect the  
364 establishment and growth of cover crops (Akemo *et al.*, 2000). In warm areas, cover crops may  
365 develop well and potentially capture more carbon dioxide (CO<sub>2</sub>) from the atmosphere, thus  
366 providing more carbon inputs into soils after they die (e.g., Bayer *et al.*, 2009).

367 Tillage results in the breakdown of macroaggregates and the release of aggregate-protected  
368 SOC (Six *et al.*, 2000; Mikha & Rice, 2004). Tillage-induced SOC decomposition usually  
369 proceeds at higher rates in warm than in cool areas. Implementing NT, with minimal soil  
370 disturbance, protects SOC from decomposition. As a result, SOC increases can be more  
371 significant in warm conditions considering the relatively higher baseline of the decomposition  
372 rate compared to that in cool areas.

373 *[Insert Table 1]*

#### 374 **4.2.2 Soil properties**

375 Soil organic carbon is strongly correlated with clay content, with an increasing trend toward  
376 more SOC in fine-textured soils (Stronkhorst & Venter, 2008; Meersmans *et al.*, 2012). The SOC  
377 mineralization rate probably diminishes as clay concentrations increase (Sainju *et al.*, 2002).  
378 Clay minerals can stabilize SOC against microbial attack through absorption of organic

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379 molecules (Ladd *et al.*, 1996). By binding organic matter, clay particles help form and stabilize  
380 soil aggregates, imposing a physical barrier between decomposer microflora and organic  
381 substrates and limiting water and oxygen available for decomposition (Dominy *et al.*, 2002).

382 Biochar use and cover crops promote carbon sequestration for all soil texture types. Such an  
383 enhancement of SOC does not vary significantly with soil texture (Table 1). The ability of  
384 conservation tillage to enhance SOC, however, differs with soil texture (Fig. 4). Conservation  
385 tillage merely reduces soil disturbance and normally does not add extra materials to soils. It can  
386 be inferred that the effect of conservation tillage on SOC is more texture-dependent than the  
387 other two management practices. Biochar is a carbon-rich material with a charged surface,  
388 organic functional groups, and a porous structure, which can potentially increase soil aggregation  
389 and cation exchange capacity (Jien & Wang, 2013). Similarly, cover crops directly provide  
390 carbon inputs to soils, and their root development and rhizodeposition can also benefit soil  
391 structure. These benefits are embedded in the source of biochar and cover crops *per se*. Thus, the  
392 effectiveness of biochar and cover crops in increasing SOC may depend on their properties other  
393 than soil texture.

394 Soil depth may potentially influence the effects of the CSA practices on SOC (Baker *et*  
395 *al.*, 2007). The CSA practices were most beneficial to SOC accumulation in surface soils. For  
396 example, NT increased SOC by 7% in the 0-3 cm soil layer (Abdalla *et al.*, 2016) and by 3% at  
397 the 40 cm depth (Luo *et al.*, 2010). Our findings suggested that CSA practices can enhance SOC  
398 sequestration in the entire soil profile, although the positive effects vary with soil depths (Table  
399 S1). Conventional tillage breaks soil aggregates and increases aeration and thus enhances soil  
400 organic matter mineralization (Cambardella & Elliott, 1993). Conventional tillage also  
401 incorporates residues into deeper soil layers, resulting in a more uniform distribution of SOC  
402 (albeit at lower concentrations) in the soil profile (Sainju *et al.*, 2006; Plaza-Bonilla *et al.*, 2010).  
403 In contrast, conservation tillage keeps residues at the soil surface and reduces their degree of  
404 incorporation into soil (Franzluebbers *et al.*, 1995). Nevertheless, positive effects of NT on SOC  
405 have been found in a deep soil profile (0-60 cm, Liu *et al.*, 2014). As noted, in the 10-50 cm soil  
406 layer, the effect of cover crops on SOC was found to be the greatest among all the CSA  
407 management practices we discussed (Fig. 5). This is perhaps because much of the crop and cover  
408 crop root growth occurs in the surface soil (e.g., Box & Ramsuer, 1993; Sainju *et al.*, 1998) and

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409 the generally greater contribution of roots to SOC than aboveground biomass (Balesdent &  
410 Balabane, 1996; Allmaras *et al.*, 2004).

411 Soil pH is recognized as a dominant factor governing the soil organic matter turnover rate,  
412 although its mode of impact is still unclear (Van Bergen *et al.*, 1998). Soil pH affects selective  
413 presentation or metabolic modification of specific components (e.g., lignin-cellulose, lipids)  
414 during decomposition (Kemmitt *et al.*, 2006) and therefore abiotic factors (e.g., carbon and  
415 nutrient availability) and biotic factors (e.g., the composition of the microbial community). Also,  
416 soil pH can change the decomposition rate of crop residues and SOC via its effect on SOC  
417 solubility and indirectly by altering microbial growth, activity, and community structure (Pietri  
418 & Brookes, 2009; Wang *et al.*, 2017). The levels of soluble organic carbon may increase with  
419 increasing acidity (Willett *et al.*, 2004; Kemmitt *et al.*, 2006). Motavalli *et al.* (1995) suggested  
420 that increased soil acidity would cause greater soil organic matter accumulation due to reduced  
421 microbial mineralization; however, this was challenged by Kemmitt *et al.* (2006) who found no  
422 significant trend in SOC in response to pH changes. In this study, most CSA management  
423 practices resulted in greater increases in SOC in neutral or alkaline soils compared to acid soils.

#### 424 **4.3 CSA and other agronomic practices**

425 Crop residues provide substantial amounts of organic matter and may influence the effect of  
426 CSA practices on SOC. Residue retention changes the formation of soil macroaggregates (Benbi  
427 & Senapati, 2010), promoting SOC preservation and accumulation (Six *et al.*, 2002). Residue  
428 cover protects the soil surface from direct impact by raindrops (Blanco-Canqui *et al.*, 2014). In  
429 addition, crop residues provide organic substrates to soil microorganisms that can produce  
430 binding agents and promote soil aggregation (Guggenberger *et al.*, 1999). Conversely, residue  
431 removal reduces carbon input to the soil system and ultimately decreases SOC storage (Manna *et*  
432 *al.*, 2005; Koga & Tsuji, 2009). This suggests that the amount of carbon inputs predominantly  
433 controls changes in SOC stocks (Virto *et al.*, 2012). For the conditions of cover crops and NT,  
434 enhancing SOC was significantly greater with residue return than with residue removal. Our  
435 study suggests that changes in SOC did not differ with residue management in RT (Table 1),  
436 although a slightly greater increase in SOC occurred with residue retention than with residue  
437 removal (Fig. 8). This unexpected result is likely due to the limited number of observations with  
438 residue removal. Another possible reason is that the interaction between residue management

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439 and soil type may lead to various responses in SOC stocks. For example, residue removal  
440 increased SOC by 3.6% while residue retention had no effect on SOC in clay and clay loam soils.  
441 The decomposition of crop residues involves complex processes, which are controlled by  
442 multiple biogeochemical and biophysical conditions.

443 Nitrogen fertilization noticeably increases SOC stock but with diminishing returns. For  
444 example, Blanco-Canqui *et al.* (2014) indicate that nitrogen fertilizer increases SOC when the  
445 nitrogen fertilization rate is below 80 kg N ha<sup>-1</sup>, above which it reduces aggregation and then  
446 decreases SOC stocks. Nitrogen fertilization can stimulate biological activity by altering  
447 carbon/nitrogen ratios, thereby promoting soil respiration and decreasing SOC content  
448 (Mulvaney *et al.*, 2009); however, excessive nitrogen addition may reduce soil fungi populations,  
449 inhibit soil enzyme activity, and decrease CO<sub>2</sub> emissions (Wilson & Al Kazi, 2008). These  
450 findings suggest that nitrogen fertilization enhances the positive effect of CSA management  
451 practices on SOC, likely through increased plant biomass production (Gregorich *et al.*, 1996).  
452 However, nitrogen addition complicates the effects of biochar on SOC (Fig. 9). Nitrogen  
453 fertilizer may affect biochar stability and the response of native SOC decomposition to biochar  
454 addition (Jiang *et al.*, 2016). Positive (Bebber *et al.*, 2011; Jiang *et al.*, 2014) and negative  
455 (Pregitzer *et al.*, 2008) effects of nitrogen on SOC mineralization rates have been reported. These  
456 contrasting effects could be an alleviation of microbial nitrogen limitations (Jiang *et al.*, 2016)  
457 and changes in the microbial decomposer community toward more efficient carbon-users  
458 (Janssens *et al.*, 2010). A possible explanation of the various responses of nitrogen rate in  
459 biochar-modified soils is that either inadequate or excessive nitrogen addition may inhibit  
460 microbial activity to some extent, whereas medium-level nitrogen fertilization rates benefit  
461 microbes the most, which needs to be confirmed in future research.

462 Aridity can limit plant growth and crop residue return and ultimately compromise SOC  
463 accumulation (Moreno *et al.*, 2006). Jien and Wang (2013) suggest that CSA management  
464 practices can potentially enhance soil water retention by improving soil porosity and erosion  
465 control. Irrigation ensures sufficient water for plant growth, resulting in more biomass  
466 production than in rainfed conditions (Shipitalo *et al.*, 1990; Chan, 2004; Capowicz *et al.*, 2009;  
467 Swanepoel *et al.*, 2016). The crop root density is much higher in irrigated conditions compared

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468 to rainfed conditions (Jobbágy & Jackson, 2000), leading to higher organic matter input. Thus,  
469 CSA management practices in combination with irrigation could further increase SOC content.

470 Rotational cropping potentially provides high carbon input to soils. Compared to  
471 continuous cropping systems, crops in rotational cropping systems have a greater belowground  
472 allocation of biomass (Van Eerd *et al.*, 2014), resulting in more inputs of crop residue to the soil  
473 system. Enhancing rotation complexity can benefit carbon sequestration (West & Post, 2002).  
474 The present analysis suggests that all CSA practices can prominently increase SOC sequestration  
475 regardless of the crop rotation system. Biochar addition increased SOC more in rotational  
476 cropping systems than in continuous cropping systems, while cover crops increased SOC more in  
477 continuous systems (Fig. 10). This is likely because cover crops increased the diversity of the  
478 original continuous systems, resulting in larger percentage changes in SOC content compared to  
479 rotational systems. Cover crop species introduce large uncertainties because the quantity and  
480 quality of cover crop residues may vary greatly with species. Residues with a high  
481 carbon/nitrogen ratio probably increase the amount of SOC (Duong *et al.*, 2009). The growth  
482 period of legume cover crops may be longer in continuous than in rotational cropping systems,  
483 thus providing more organic matter and nitrogen input to the soil. Ultimately, these processes  
484 would increase SOC stocks.

485 The effect size of combined cover crops and conservation tillage was generally less than  
486 11% (the sum of the effect size of cover crops and conservation tillage). However, in sandy clay  
487 loam and loamy sand soils, the sum of the effect size was 21% and 31%, respectively. Coarse-  
488 textured soils are not carbon-saturated and have great potential for carbon uptake. Cultivated  
489 land tends to suffer from SOC degradation, and SOC accumulation could quickly increase upon  
490 initiating farming practices due to high carbon inputs to the soil system (Vieira *et al.*, 2009). For  
491 example, in sandy loam soils, Higashi *et al.* (2014) showed that SOC increased by 22% with a  
492 combination of cover crops and NT. These results may be attributed to the stability of soil water-  
493 stable aggregates when cover crops are grown in sandy clay loam soils (McVay *et al.*, 1989),  
494 given that aggregate stability has been linked to protection of SOC from mineralization (Unger,  
495 1997). The combination of cover crops and conservation tillage significantly decreased SOC in  
496 clay soils. The reason for this unexpected result may be due to the limited number of study sites  
497 where this combination of treatments was evaluated (few data points in our meta-analysis) but

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498 also to the diverse methods (e.g., burning) by which the cover crop biomass was managed (Tian  
499 *et al.*, 2005).

#### 500 **4.4 Uncertainty analysis and prospects**

501 Our meta-analysis, based on 3,049-paired comparisons from 417 peer-reviewed articles,  
502 quantitatively analyzed SOC changes as influenced by major CSA management practices and  
503 associated environmental factors and other agronomic practices. The publication bias analysis  
504 suggested that most results in this study are robust (Table S3). The accuracy and robustness of  
505 metadata analysis depend highly on both the data quality and quantity. A detailed statement of  
506 the experimental conditions will provide more information for in-depth analysis. Future CSA  
507 research also requires standardized field management, for example, the definitions and names of  
508 different conservation tillage methods should be uniform across studies to facilitate classification  
509 research.

510 To the best of our knowledge, this study made the first attempt to examine synergistic  
511 effects when two or more CSA management practices are used together. Although our results  
512 present the positive effects of CSA management on soil carbon storage, especially when multiple  
513 management practices are adopted collectively, each practice may have constraints regarding  
514 enhancing soil carbon sequestration. The SOC benefit of CSA management practices strongly  
515 depends on environmental factors and other agronomic practices. Therefore, the choice of proper  
516 practices is potentially highly region-specific. Our results imply that CSA may have great  
517 potential for climate change mitigation as the combination of conservation tillage, cover crops,  
518 and biochar can theoretically enhance SOC by 50%. However, field experiments are still needed  
519 to support this claim. In addition, some CSA management practices may promote nitrous oxide  
520 or methane emissions (e.g., Six *et al.*, 2004; Spokas & Reicosky, 2009; Kessel *et al.*, 2013;  
521 Huang *et al.*, 2018), which, to some extent, would offset their benefit on climate change  
522 mitigation. Therefore, evaluating the CSA effects should also include non-CO<sub>2</sub> greenhouse gases  
523 such as nitrous oxide and methane. We call for field experiments that can fully examine key  
524 indicators (such as soil carbon and greenhouse gases) in response to single and combined CSA  
525 management practices.

526 Additionally, incorporating cover crops into current cropping systems could potentially alter  
527 conventional rotations. For example, cover crops in herbaceous crop rotations can substitute bare

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528 fallows or commercial crops. We only considered studies that treated cover crops as treatments  
529 and fallow (or weeds) as controls in this study. In comparison to bare fallows, cover crops can  
530 enhance soil health and quality (Jarecki & Lal, 2003). The benefits of cover crops include  
531 uptakes and stores of soil nutrients between seasons when they are susceptible to leaching  
532 (Doran & Smith, 1987). However, the substitution of commercial crops could reduce the  
533 productivity of the system, which has climatic implications related to the opportunity cost of the  
534 extra land required (e.g., Balmford *et al.*, 2018; Searchinger *et al.*, 2018). Thus, future studies  
535 should further address these potential side effects caused by land use change.

536 Materials producing biochar may have other uses or fates, and the biochar-making  
537 processes may produce CO<sub>2</sub> (e.g., Llorach-Massana *et al.*, 2017), although biochar addition is an  
538 effective way to sequester SOC. These uncertainties, to some extent, can offset the benefits of  
539 biochar for climate change mitigation through SOC sequestration (Powlson *et al.*, 2008). The  
540 carbon footprint of biochar production depends on production technology and the types of  
541 feedstocks (Meyer *et al.*, 2017). Mukherjee and Lal (2014) found that “carbon dioxide emissions  
542 from biochar-amended soils have been enhanced up to 61% compared with unamended soils.”  
543 However, with a low carbon footprint, each ton of biochar could sequester 21 to 155 kg of  
544 equivalent CO<sub>2</sub> (Llorach-Massana *et al.*, 2017). Matovic (2011) also suggested that 4.8 Gt C yr<sup>-1</sup>  
545 would be sequestered if 10% of the world’s net primary production were converted into biochar,  
546 “at 50% yield and 30% energy from volatiles.” To fully understand the net impacts of biochar on  
547 climate mitigation, future studies should stress the carbon footprint in the lifecycle of biochar.

548 It is essential to realistically examine the effects of CSA management practices on SOC and  
549 greenhouse gases at multiple scales from plot and field levels to regional and global scales.  
550 Therefore, future CSA research is expected to include varied climate and geographic conditions,  
551 address more biogeochemical and hydrological processes, and apply diverse methods such as the  
552 data-model fusion approach. For example, modeling studies have attempted to investigate  
553 regional cropland SOC dynamics as influenced by multiple global environmental changes while  
554 considering more traditional and less CSA practices (e.g., Molina *et al.*, 2017; Nash *et al.*, 2018;  
555 Ren *et al.*, 2012, 2018). In the future, ecosystem models need to be improved to incorporate  
556 multiple common CSA management practices. Additional model evaluations are needed to

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557 quantify the potential of cropland carbon sequestration by adopting multiple CSA practices at  
558 broad scales as new data become available from suggested field experiments and observations.

559

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920

921 **Table 1.** Between-group variability ( $Q_M$ ) of the variables controlling the effects of climate-smart  
922 agriculture management practices on soil organic carbon.

Variables	No-till		Reduced tillage		Cover crop		Biochar	
	df	$Q_M$	df	$Q_M$	df	$Q_M$	df	$Q_M$
Duration	2	12.14**	2	13.69**	2	26.19***	1	0.04
Aridity index	1	0.13	1	10.99***	1	0.04	1	5.73*
Mean annual air temperature	1	16.32***	1	0.47	1	55.99***	1	6.48*
Soil texture	5	20.98***	5	32.15***	4	3.58	5	9.65
Soil depth	3	210.69***	3	73.38***	2	17.38***	-	-
Soil pH	2	9.8**	2	3.52	2	9.05*	2	28.64***
Residue	1	6.56*	1	0.04	1	4.07*	-	-
Nitrogen fertilization	3	7.62	3	11.43*	2	0.89	2	7.22*
Irrigation	1	9.61**	1	0.92	1	0.16	1	1.7
Crop rotation	1	1.72	1	0.26	1	19.43***	1	4.53*

923 Statistical significance of  $Q_M$ : \*  $P < 0.05$ ; \*\*  $P < 0.01$ ; \*\*\*  $P < 0.001$ .

## 924 **Figure captions**

925 **Figure 1.** Relationship between climate-smart management practices and soil processes. “+”  
926 means a positive feedback or promotion effect; “-” means a negative feedback or inhibition  
927 function; and “?” means the effect is unclear. Blue, black, and red show the effect of cover crops,  
928 conservation tillage, and biochar on the soil environment, processes, and pools, respectively.  
929 SOC: soil organic carbon.

930 **Figure 2.** Comparison of climate-smart management vs. their controls for the entire dataset. The  
931 number in parentheses represents the number of observations. Error bars represent 95%  
932 confidence intervals. SOC: soil organic carbon; NT: no-till; RT: reduced tillage.

933 **Figure 3.** Comparison of climate-smart management vs. their controls for subcategories of  
934 climate zone (a: the climate zones were divided by aridity index; b: the climate zones were

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935 divided by mean annual air temperature). The number in parentheses represents the number of  
936 observations. Error bars represent 95% confidence intervals. SOC: soil organic carbon; NT: no-  
937 till; RT: reduced tillage.

938 **Figure 4.** Comparison of climate-smart management vs. their controls for subcategories of soil  
939 textures. The number in parentheses represents the number of observations. Error bars represent  
940 95% confidence intervals. SOC: soil organic carbon; NT: no-till; RT: reduced tillage.

941 **Figure 5.** Comparison of climate-smart management vs. their controls for subcategories of soil  
942 depth. The number in parentheses represents the number of observations. Error bars represent 95%  
943 confidence intervals. SOC: soil organic carbon; NT: no-till; RT: reduced tillage. The average  
944 depths of each categorical group were presented in supplementary files (Table S4-S7).

945 **Figure 6.** Comparison of climate-smart management vs. their controls for subcategories of soil  
946 pH. The number in parentheses represents the number of observations. Error bars represent 95%  
947 confidence intervals. SOC: soil organic carbon; NT: no-till; RT: reduced tillage.

948 **Figure 7.** Comparison of climate-smart management vs. their controls for subcategories of  
949 experiment duration. The number in parentheses represents the number of observations. Error  
950 bars represent 95% confidence intervals. SOC: soil organic carbon; NT: no-till; RT: reduced  
951 tillage.

952 **Figure 8.** Comparison of climate-smart management vs. their controls for subcategories of crop  
953 residues. The number in parentheses represents the number of observations. Error bars represent  
954 95% confidence intervals. SOC: soil organic carbon; NT: no-till; RT: reduced tillage.

955 **Figure 9.** Comparison of climate-smart management vs. their controls for subcategories of  
956 nitrogen fertilizer use. The number in parentheses represents the number of observations. Error  
957 bars represent 95% confidence intervals. Low, medium, and high levels of nitrogen fertilizer use  
958 represent 1-100, 101-200, and >200 kg N ha<sup>-1</sup>, respectively. SOC: soil organic carbon; NT: no-  
959 till; RT: reduced tillage.

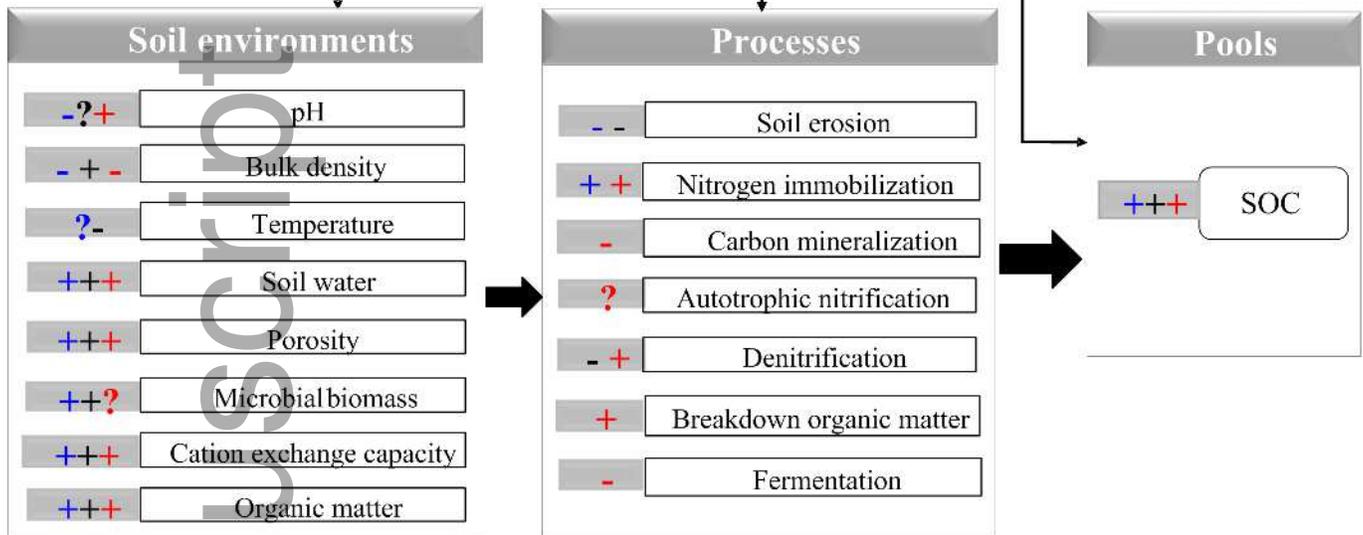
960 **Figure 10.** Comparison of climate-smart management vs. their controls for subcategories of  
961 water management (a) and cropping systems (b). The number in parentheses represents the

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962 number of observations. Error bars represent 95% confidence intervals. SOC: soil organic carbon;  
963 NT: no-till; RT: reduced tillage.

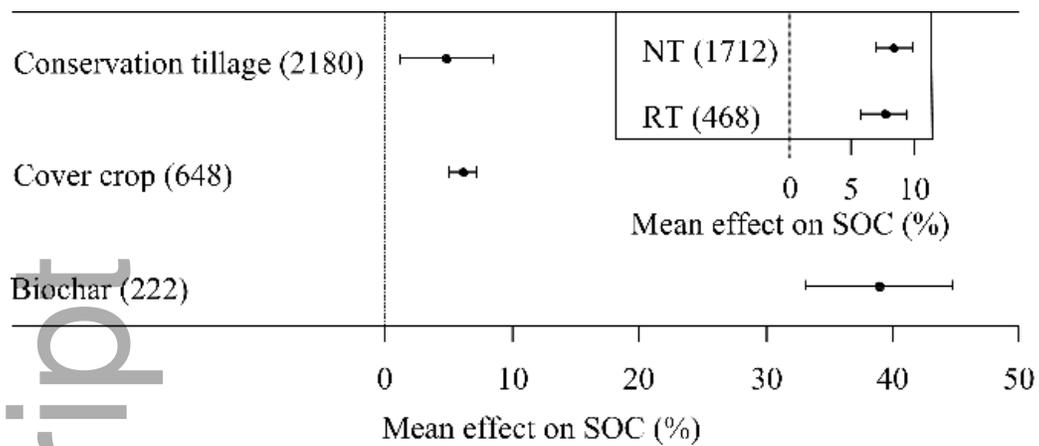
964 **Figure 11.** The effect size of combined conservation tillage and cover crops for different  
965 subcategories. The number in parentheses represents the number of observations. Error bars  
966 represent 95% confidence intervals. The vertical solid line represents 11%, which is the  
967 theoretical sum of the effect sizes of conservation tillage and cover crops. SOC: soil organic  
968 carbon.

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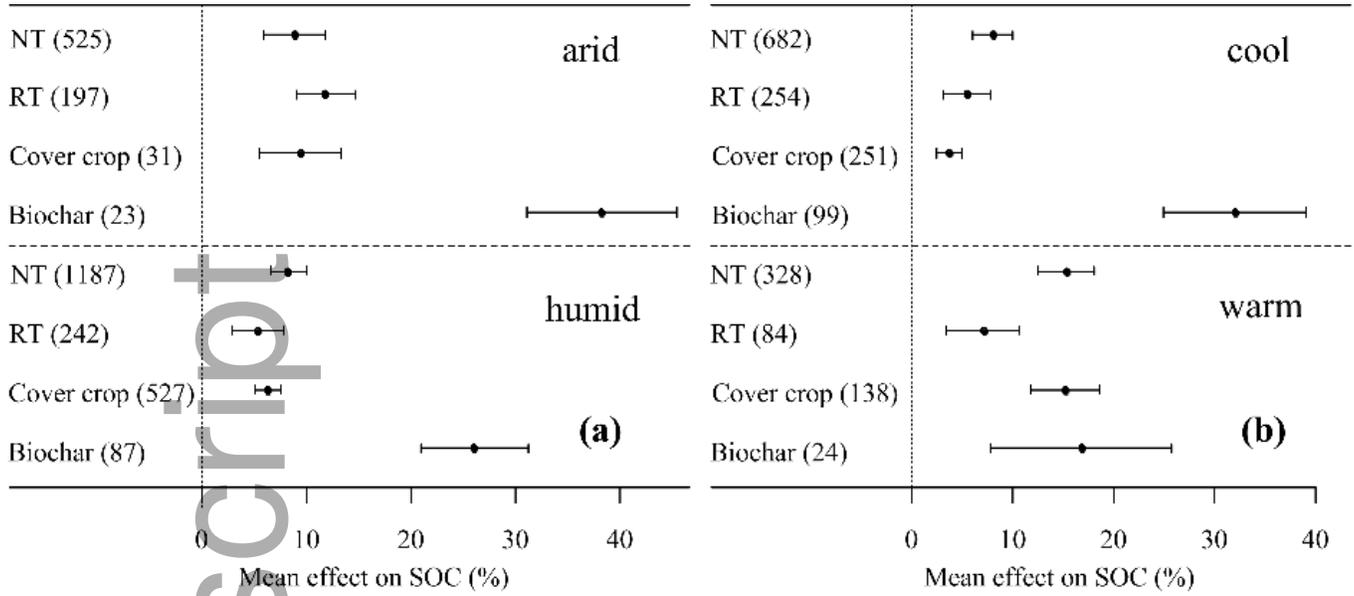


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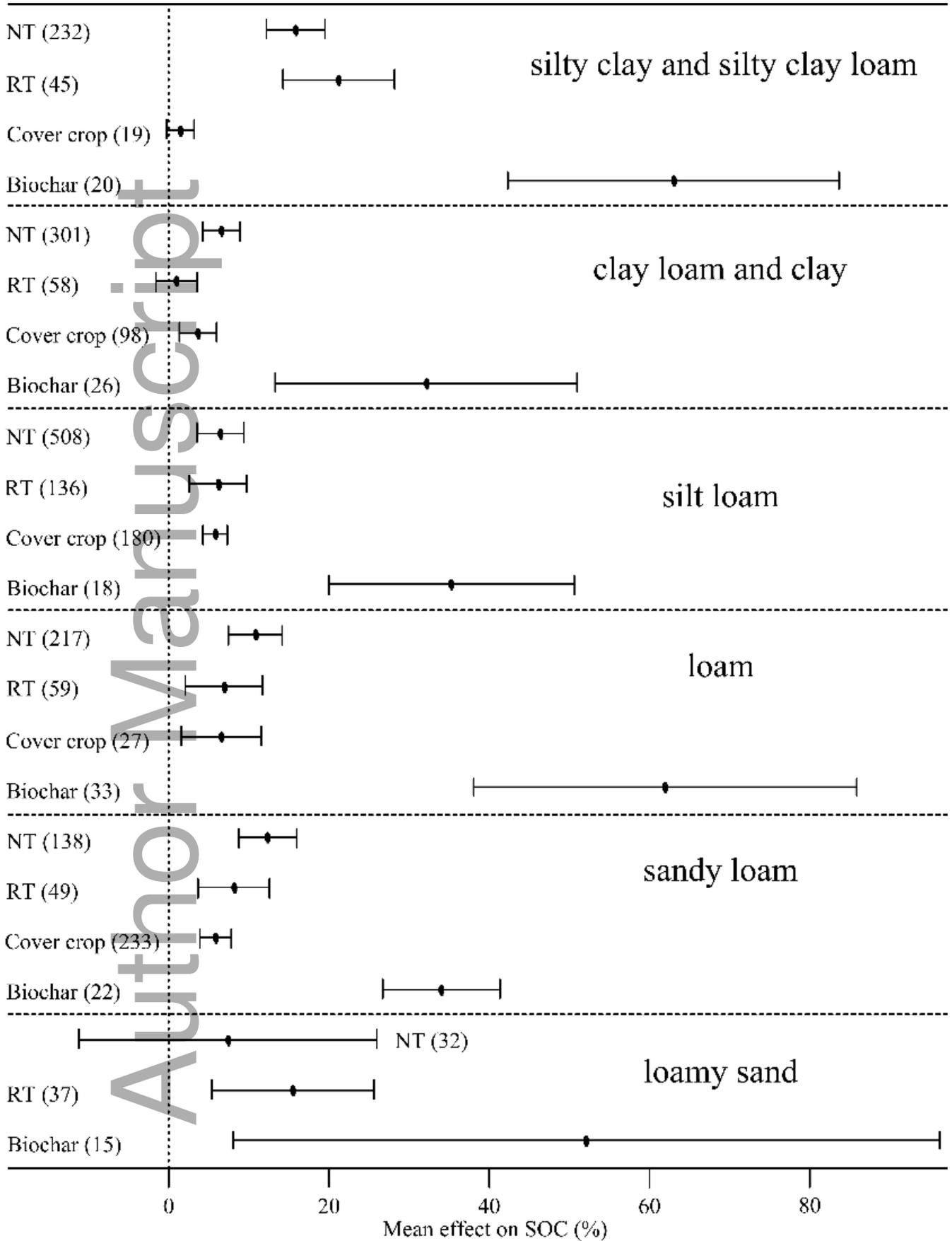


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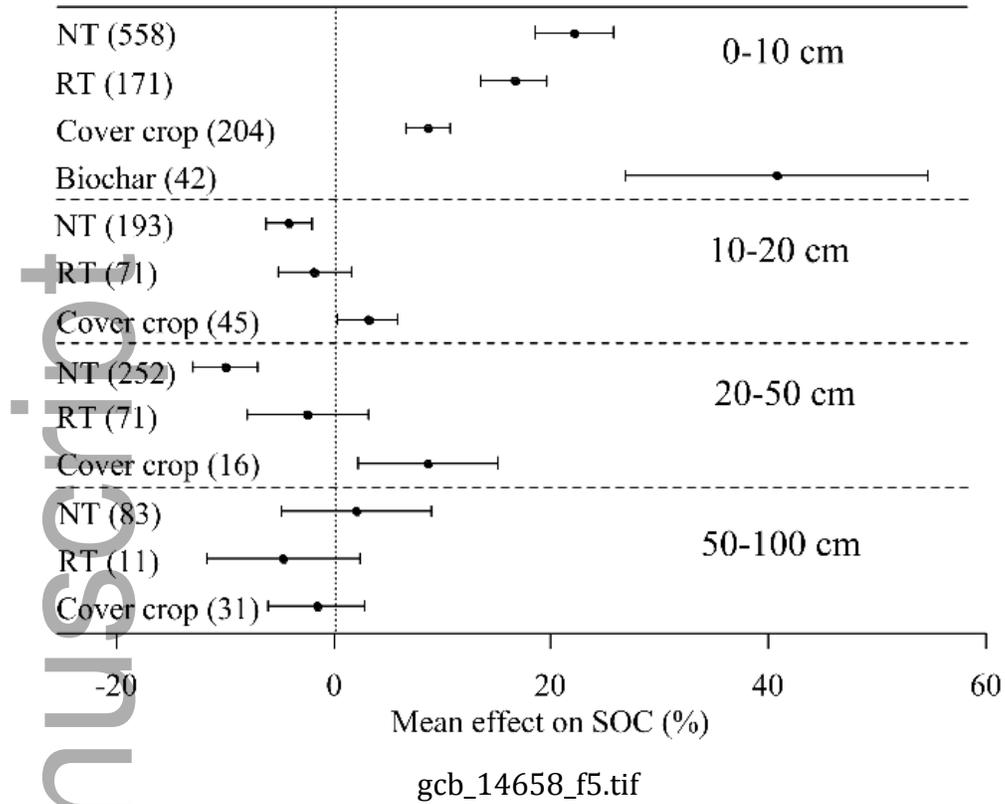


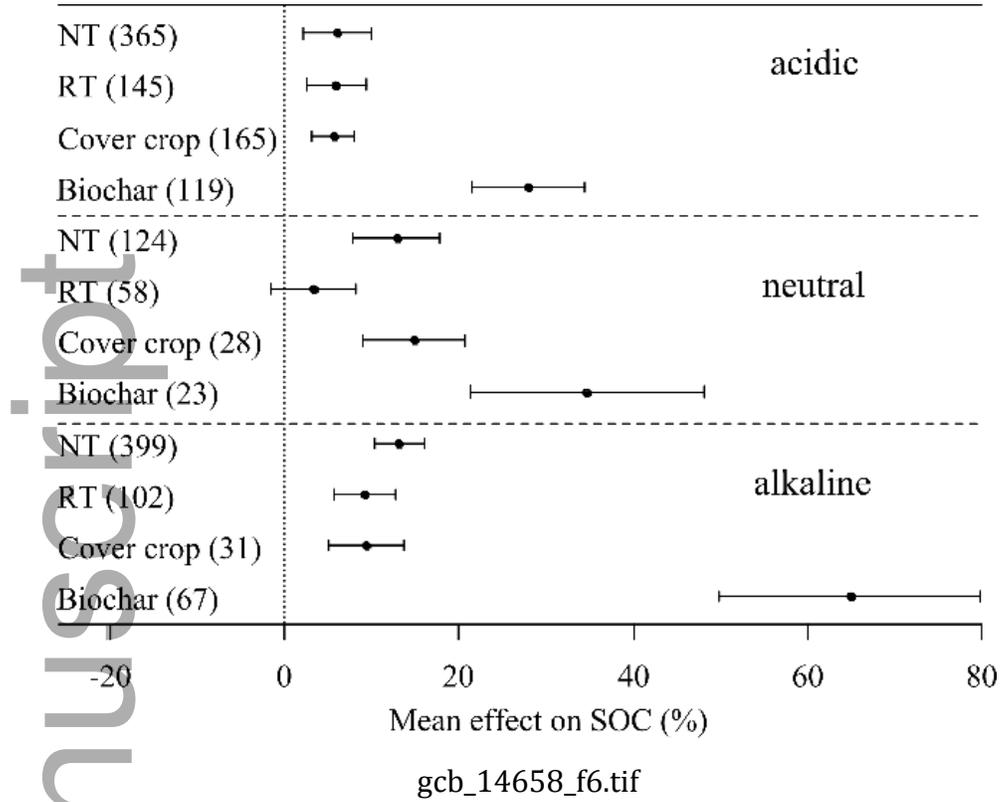
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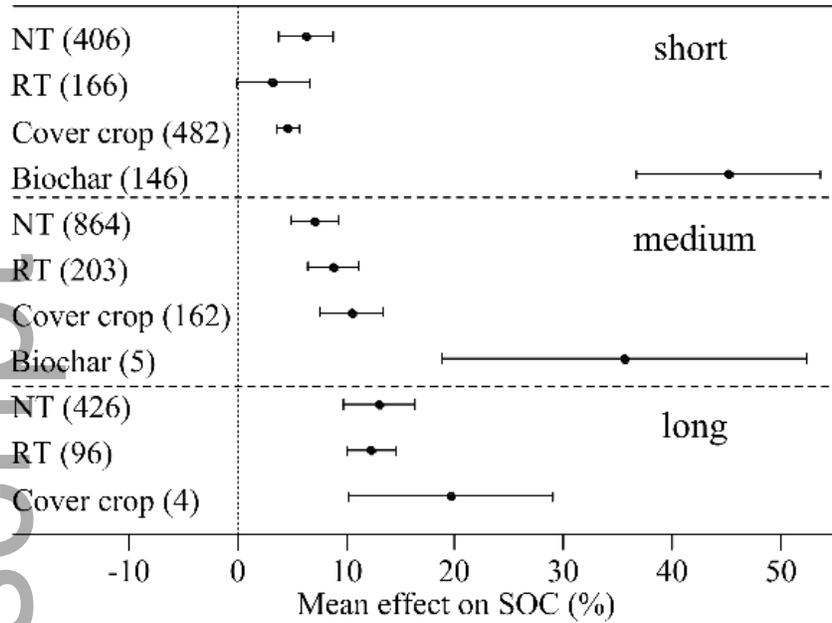
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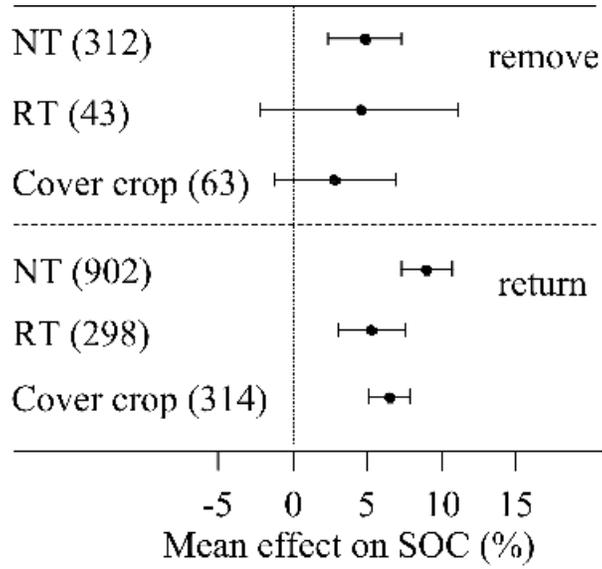
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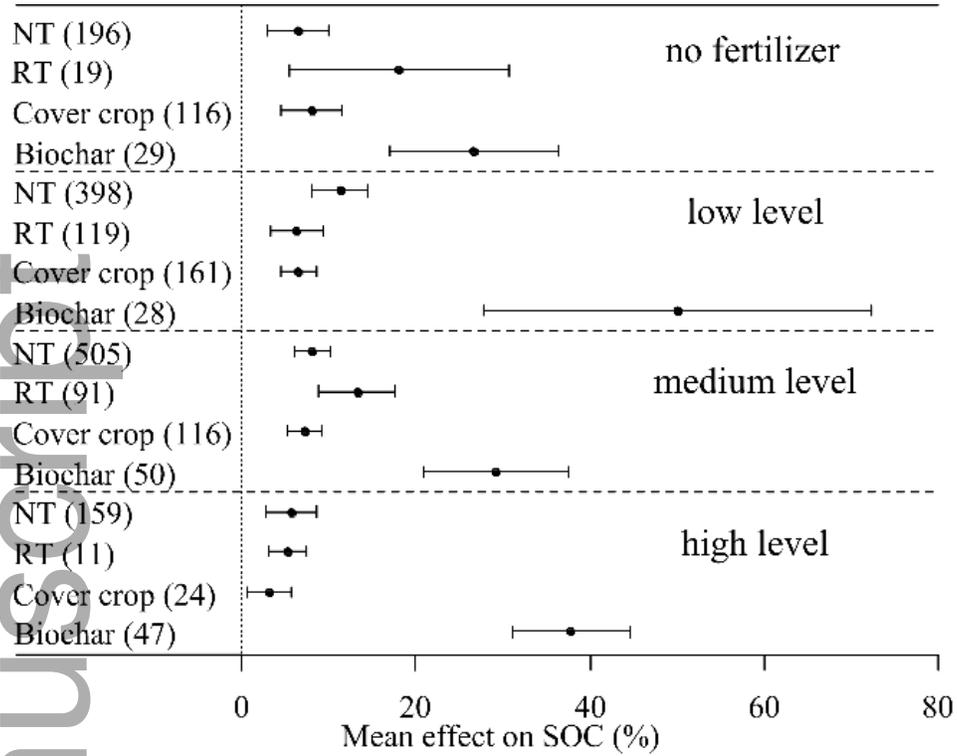




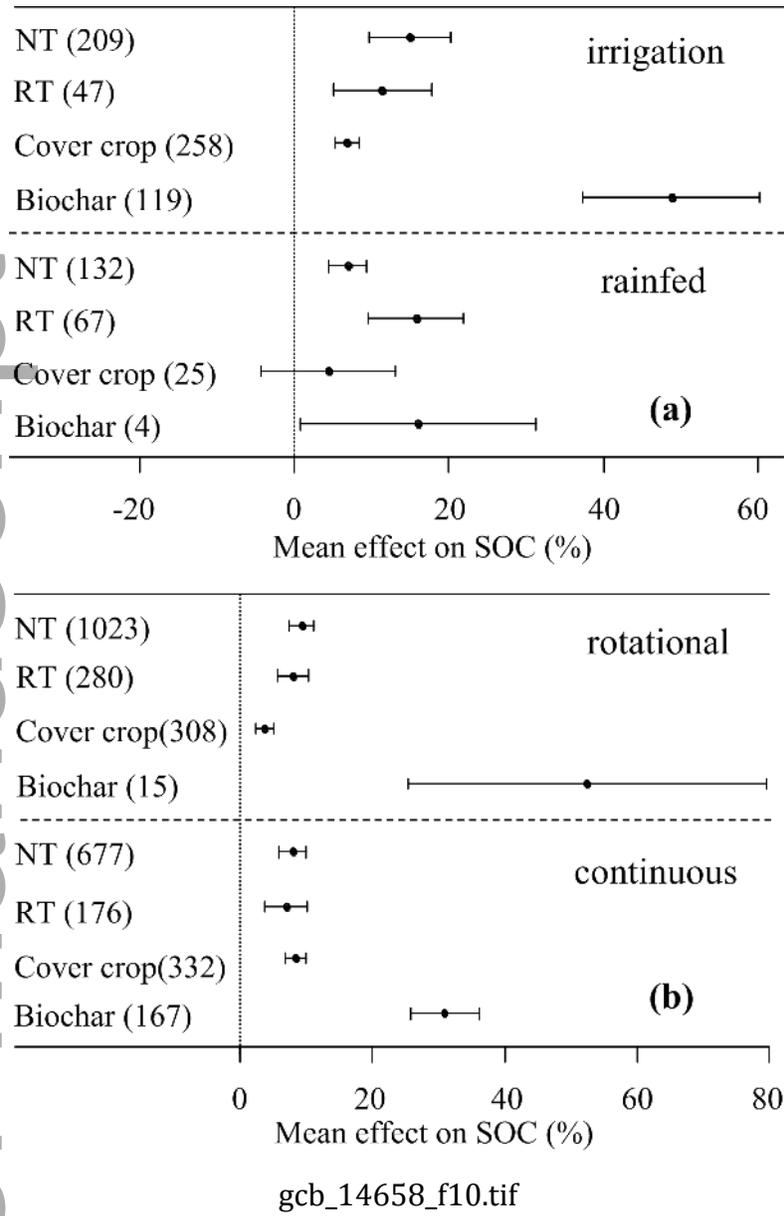
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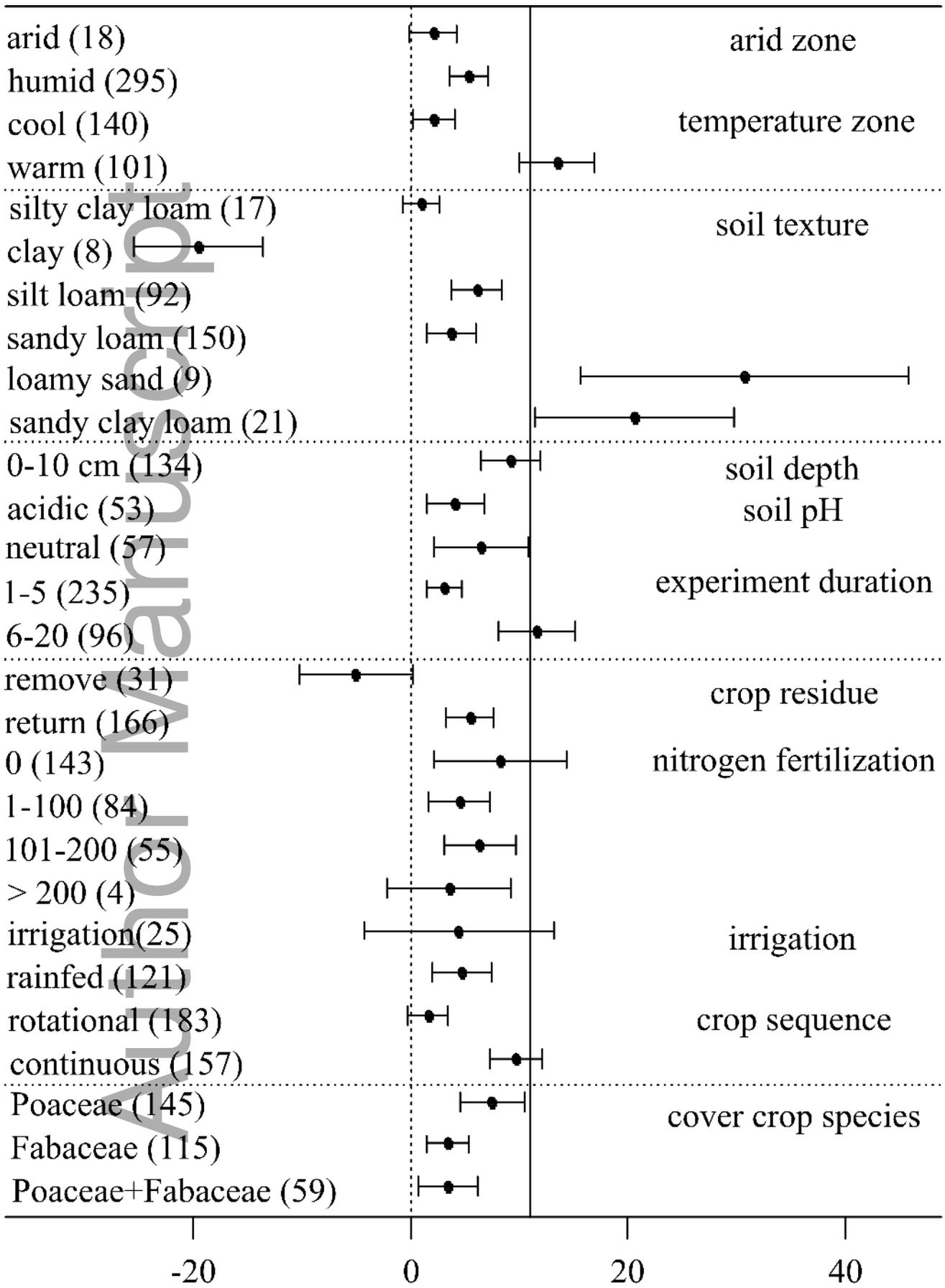


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Mean effect on SOC (%)

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