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ORIGINAL PAPER

Association of oral microbiota with lung cancer risk in a low‑income population in the Southeastern USA

Jiajun Shi¹ · Yaohua Yang¹ · Hua Xie² · Xiaofei Wang³ · Jie Wu¹ · Jirong Long¹ · Regina Courtney¹ · Xiao-Ou Shu¹ · **Wei Zheng¹ · William J. Blot1 · Qiuyin Cai[1](http://orcid.org/0000-0002-9384-5648)**

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Abstract

Purpose Oral microbiome plays an important role in oral health and systemic diseases, including cancer. We aimed to prospectively investigate the association of oral microbiome with lung cancer risk.

Methods We analyzed 156 incident lung cancer cases (73 European Americans and 83 African Americans) and 156 individually matched controls nested within the Southern Community Cohort Study. Oral microbiota were assessed using 16S *rRNA* gene sequencing in pre-diagnostic mouth rinse samples. Paired t test and the permutational multivariate analysis of variance test were used to evaluate lung cancer risk association with alpha diversity or beta diversity, respectively. Conditional logistic regression models were used to evaluate the association of individual bacterial abundance or prevalence with lung cancer risk. **Results** No signifcant diferences were observed for alpha or beta diversity between lung cancer cases and controls. Abundance of families *Lachnospiraceae_[XIV]*, *Peptostreptococcaceae_[XI]*, and *Erysipelotrichaceae* and species *Parvimonas micra* was associated with decreased lung cancer risk, with odds ratios (ORs) and 95% confdence intervals (CIs) of 0.76 (0.59–0.98), 0.80 (0.66–0.97), 0.81 (0.67–0.99), and 0.83 (0.71–0.98), respectively (all *p*<0.05). Prevalence of fve predefned oral pathogens were not signifcantly associated with overall lung cancer risk. Prevalence of genus *Bacteroidetes_ [G-5]* and species *Alloprevotella sp._oral_taxon_912*, *Capnocytophaga sputigena*, *Lactococcus lactis*, *Peptoniphilaceae_ [G-1] sp._oral_taxon_113*, *Leptotrichia sp._oral_taxon_225*, and *Fretibacterium fastidiosum* was associated with decreased lung cancer risk, with ORs and 95% CIs of 0.55 (0.30–1.00), 0.36 (0.17–0.73), 0.53 (0.31–0.92), 0.43 (0.21–0.88), 0.43 (0.19–0.94), 0.57 (0.34–0.99), and 0.54 (0.31–0.94), respectively (all *p*<0.05). Species *L. sp._oral_taxon_225* was significantly associated with decreased lung cancer risk in African Americans (OR [95% CIs] 0.28 [0.12–0.66]; $p = 0.00012$). **Conclusion** Results from this study suggest that oral microbiota may play a role in the development of lung cancer.

Keywords Oral microbiome · Lung cancer · 16S *rRNA* sequencing · Low-income population

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Introduction

Lung cancer is the most common cause of cancer mortality and one of the most common cancers in both men and women worldwide [[1,](#page-10-0) [2\]](#page-10-1). Cigarette smoking is attributed to approximately 80% of the lung cancer burden in men and more than 50% in women [\[3](#page-10-2), [4](#page-10-3)]. Other lung cancer risk factors include alcohol drinking, unhealthy diet, physical inactivity, history of lung diseases, and environmental and occupational exposures. However, there is a need to better understand alternative risk factors, particularly with regard to how they interact with known risk factors as well as the underlying mechanisms of carcinogenesis.

Oral microbiome plays an important role in oral health and systemic diseases, including cancer [[5–](#page-10-4)[7\]](#page-10-5). Dysbiosis of oral microbiota has been proposed to contribute to cancer development via various mechanisms, including inducing chronic infammation, inhibiting apoptosis, activating cell proliferation, promoting cellular invasion, and/or producing carcinogens [[8,](#page-10-6) [9](#page-10-7)]. Regarding lung cancer, several lines of observational fndings have suggested a possible association between oral microbiota and risk of the disease. First, an increased risk for lung cancer has been observed in patients with periodontal diseases $[10-12]$ $[10-12]$ $[10-12]$, which is likely caused by oral microbial pathogens and dysbiotic oral microbiota [[13\]](#page-10-10). Second, individuals carrying specific oral bacteria, such as *Chlamydia pneumoniae*, have an increased risk for lung cancer [\[14](#page-10-11)[–16\]](#page-10-12). Third, diferential abundance of certain oral bacteria were reported to be associated with lung cancer risk in case–control studies [[17–](#page-10-13)[20](#page-10-14)]. However, no study has prospectively investigated the association of oral microbiome with lung cancer risk in low-income populations, especially among African Americans (AAs).

In the present investigation, we conducted a case–control study nested within the Southern Community Cohort Study (SCCS), a low-income population living in the Southeastern USA, to systematically evaluate the associations between oral microbiota and lung cancer risk among AAs and European Americans (EAs).

Materials and methods

Study participants and data collection

The SCCS is an ongoing prospective cohort study, with the aims to investigate risk factors of cancer and chronic diseases among a low-income population, described in detail elsewhere [[21\]](#page-10-15). Briefy, approximately 86,000 adults, twothirds of whom were AAs, were recruited between March 2002 and September 2009 from 12 Southeastern states of the USA. Approximately 86% were recruited from community health centers (CHCs), institutions providing basic health care and preventative services in underserved areas, so that the cohort included a large number of individuals of low income and educational status. The remaining 14% of cohort members were recruited through mail-based general population sampling. During enrollment, mouth rinse samples were collected from ~34,100 participants [\[22](#page-10-16)]. The SCCS was reviewed and approved by the institutional review boards at Vanderbilt University and Meharry Medical College. Written informed consent was obtained from all study participants.

In the baseline survey, participants completed a comprehensive questionnaire, used to collect information on anthropometric characteristics, lifestyle factors, disease history, medication use, and other characteristics. Passive cohort follow-up by record linkage to state cancer registries operating in the 12-state study area and the national death index registry started immediately upon completion of the baseline survey. Active follow-up surveys started in 2008. In mailed or telephone follow-up surveys, participants were asked about their personal medical histories and medication use. Cigarette smokers were defned as those who reported smoking at least 100 cigarettes in their lifetime. Former smokers were defned as those who had quit smoking for at least one year, whereas those who had quit for less than one year were considered current smokers. Lung cancer cases were defned according to the International Classifcation of Diseases (ICD-10) for Oncology, Second Edition (ICD-O-2) and included all invasive cancers coded as C34.0–C34.9.

For this study, we selected 166 incident lung cancer cases and 166 individually matched controls who donated mouth rinse samples at enrollment. For each incident lung cancer case, one control was randomly selected and individually matched to cases by age of enrollment $(\pm 2 \text{ years})$, race, sex, smoking status at baseline (never/former/current smoker), date of mouth rinse sample collection $(\pm 90 \text{ days})$, CHC recruitment site, and recruitment source. We excluded six participants who used antibiotics ten or more times during the prior year or took any dose of antibiotics during the past week before mouth rinse sample collection. Participants with a history of HIV infection, other cancers, diabetes, stroke, or myocardial infarction were also excluded.

During enrollment, mouth rinse samples were collected from participants, who were asked to swish vigorously for 45 s with 10 mL Scope mouthwash containing a 15 wt% alcohol content (Procter & Gamble, Cincinnati, OH) and then expectorate into a specimen container. Mouth rinse samples were shipped to the Molecular Epidemiology Laboratory at Vanderbilt University Medical Center for processing and stored at −80 °C until DNA extraction. The mean time between mouth rinse sample collection and lung cancer diagnosis is 3.5 years.

DNA extraction and 16S *rRNA* **gene sequencing**

Total DNA, including bacterial DNA, was isolated from mouth rinse samples using the QIAmp DNA kit (Qiagen, Germantown, MD, USA). Sequencing libraries were prepared using the NEXTfex 16S V4 Amplicon-Seq Kit (Bioo Scientific 4201-05), following the manufacturer's protocol. This kit was designed to sequence approximately 253 bp of the fourth hypervariable (V4) domain of the 16S *rRNA* gene [[23,](#page-10-17) [24](#page-10-18)]. Pair-end reads of 250 bp were obtained using the Illumina HiSeq System. Each 96-well plate was sequenced with one negative control (i.e., distilled water) and two duplicate quality control (QC) samples. In this study, each of the two discrete QC samples comprising of mixed mouth rinse DNA samples of de-identifed volunteers was sequenced four times. Comparable microbial profles were observed for the same QC samples. For example, for alpha diversity measurements within each sample, using Shannon and phylogenetic diversity (PD) whole tree indexes, the average coefficients of variability among repeated QC samples were 2.0% and 4.8%, respectively. For individual specieslevel taxa, Pearson correlation coefficients among QC samples ranged from 97.9% to 99.9%, with a median of 99.6%.

Sequence data analysis and quality control

Raw sequencing reads, ranging from 36,323 to 351,766 (with a mean of 114,420 and standard deviation of 37,394) among the participants, were trimmed and fltered to remove bases and reads of low quality using the Sickle tool [\[25](#page-10-19)]. An average of 9,827 reads (standard deviation $=10,831$) were filtered. Then, BayesHammer $[26]$ $[26]$ was utilized for correcting sequencing errors and PANDAseq [\[27\]](#page-10-21) for stitching paired-end reads [[28](#page-10-22)]. Clean reads were then clustered into Operational Taxonomic Units (OTUs) at 97% sequence identity, using the closed reference OTU picking strategy with the Human Oral Microbiome Database (HOMD) [\[29\]](#page-10-23) as reference via the Quantitative Insights Into Microbial Ecology (QIIME), v1.9.1 [[23\]](#page-10-17). Four participants with clean sequencing reads<20,000 were removed. We excluded ten matched case–control pairs from which any paired participant either used antibiotics $(n=6)$ or had low sequencing reads $(n=4)$. A total of 156 lung cancer case–control pairs were included in the fnal analysis.

Statistical analyses

The QIIME version 1.9.1 [[23](#page-10-17)] was used to rarefy the OTU/ species table at a sequencing depth of 20,000 and estimate observed bacterial OTUs and alpha diversity indices including Chao1, Shannon, and PD whole tree. Paired *t* test was used to compare bacterial richness and alpha diversity between lung cancer cases and matched controls. Beta diversity (the total variance of an oral microbial composition) between lung cancer cases and controls was compared by the Permutational Multivariate Analysis of Variance (PER-MANOVA-S) test implemented in the miProfle software [\[30\]](#page-10-24). This software can construct and produce *p*-values for each of the six beta diversity matrices (Jaccard, Bray–Curtis, presence-weighted UniFrac with parameter 1 or 0, unweighted UniFrac, and weighted UniFrac) and a unifed *p*-value by combining all abundance and presence-absence distances [[30](#page-10-24)], adjusting for unmatched covariates including smoking pack-years, alcohol intake (none, light, moderate, or heavy; by sex), total energy intake, body mass index (BMI), last time of dentistry visit, and sequencing batch.

Associations between individual bacterial taxa at phylum, family, genus, and OTU levels and lung cancer risk were evaluated by two approaches based on taxonomic relative

abundance and prevalence (carriage frequency). First, taxa with a median relative abundance $> 0.01\%$ among control participants were selected for abundance association with lung cancer risk. There were 119 such taxa, including six phyla, 23 families, 33 genera, and 57 species. At each taxonomic level, the sequencing read counts for each taxon were normalized using centered log-ratio transformation after adding 1 as a pseudo-count [\[31](#page-10-25)]. Conditional logistic regression was conducted in the stratum for matched cases and controls, adjusting for the above-mentioned unmatched covariates for abundance associations. Second, taxa with a median relative abundance $\leq 0.01\%$ and a prevalence of >20% among control participants were selected for prevalence association with lung cancer risk. For 219 such taxa, including three phyla, 19 families, 50 genera, and 147 species (total *n*=219), conditional logistic regression models were used to compare the prevalence of carriers of each taxon among lung cancer cases and controls, adjusted for the above-mentioned covariates and sequencing depth. In addition, taxonomic prevalence in associations with lung cancer risk were also tested for fve pre-defned oral pathogens, including *P. gingivalis*, *Treponema denticola*, *Tannerella forsythia*, *A. actinomycetemcomitans*, and *Prevotella intermedia* [\[32,](#page-10-26) [33](#page-10-27)].

Stratifed analyses by race, sex, smoking status (ever/ never smoking), and time between mouth rinse sample collection and lung cancer diagnosis (<2 years: $n=94$; > 2 years, $n=62$) were conducted for both abundance and prevalence associations. Adjusting for diet quality score (based on the healthy eating index 2010 edition [[34\]](#page-10-28)) rather than total energy intake in above logistic regression models did not materially change the results. Thus, results without adjustment for healthy eating index are reported. We did not collect detailed oral health information at baseline enrollment. We used time since last dentistry visit as a proxy variable for oral health, which was adjusted in the regression models for association analyses between oral microbiota and lung cancer risk.

Considering the fact that taxa of diferent taxonomic ranks are correlated, we used Galwey's method [[35](#page-11-0)], implemented in the function "meff" of the R package "poolR" [[36\]](#page-11-1), to estimate the effective number of independent tests, used for Bonferroni correction. All of the analyses were carried out using SAS statistical software (SAS Enterprise Guide 7.1, SAS Institute Inc.) or R version 3.4.3 unless otherwise indicated.

Results

Characteristics of the study subjects

Table [1](#page-6-0) presents the distribution of selected demographic characteristics of the participants. Lung cancer cases and controls were well matched with respect to age, race, sex, and smoking status. Compared with controls, ever-smoking participants with lung cancer had signifcantly higher pack-years ($p = 7.1 \times 10^{-4}$). No significant differences were observed in the distributions of alcohol drinking status, family history of lung cancer, dental visit, healthy eating index, or BMI between case and control groups.

Association of overall oral microbiome composition with lung cancer risk

Observed OTUs among lung cancer cases and controls are summarized in Fig. [1](#page-7-0)A, separately for EA cases, EA controls, AA cases, and AA controls. Among 708 OTUs, 534 were observed in all four groups, while 32, 29, 30, and 27 were unique among EA cases, EA controls, AA cases, and AA controls, respectively. Compared with controls, lung cancer cases had lower observed OTUs (a mean \pm standard deviation of 172.0 ± 54.9 vs. 181.3 ± 56.5 ; $p = 0.09$), Chao1 $(195.2 \pm 57.7 \text{ vs. } 204.8 \pm 58.2; p = 0.08)$, PD whole tree $(8.5 \pm 2.4 \text{ vs. } 8.9 \pm 2.4; p=0.06)$, and Shannon $(3.8 \pm 0.8 \text{ vs. } 8.9 \pm 2.4; p=0.06)$ 3.9 ± 0.6 ; $p = 0.31$ $p = 0.31$), respectively (Fig. 1B). No significant diferences were observed in any of the six distance matrices of beta diversity between lung cancer cases and controls (all *p*>0.1). Results of principal coordinate analysis (PCoA) of Bray–Curtis dissimilarity are presented in Fig. [1C](#page-7-0).

Associations of oral bacterial taxa with lung cancer risk

We evaluated the diferences of abundance for 119 oral taxa between lung cancer cases and controls. Six taxa, all of them are within phylum *Firmicute*, were associated with reduced lung cancer risk at a *p*<0.05 (Table [2\)](#page-8-0). Family *Peptoniphilaceae* and its highly correlated descendants, genus *Parvimonas*, and species *P. micra* (Pearson correlation coefficient > 0.99) were associated with reduced lung cancer risk, with similar ORs (0.82–0.83). Three other families, including *Lachnospiraceae_[XIV]*, *Peptostreptococcaceae_[XI]*, and *Erysipelotrichaceae* were also associated with decreased lung cancer risk, with ORs (95% CIs) of 0.76 (0.59–0.98), 0.80 (0.66–0.97), and 0.81 (0.67–0.99), respectively. No signifcant associations were shown after Bonferroni correction for 41 independent tests for the 119 taxa. When tests were stratifed by race, sex, or cancer diagnosed within two years after mouth rinse sample collection, most of the associations largely remained (Supplementary Table 1). No signifcantly diferent associations between lung cancer subgroups were detected by formal tests of multiplicative interactions (data not shown).

Among 219 taxa for prevalence comparison between cases and controls, three genera and six species were associated with lung cancer risk at $a p < 0.05$. These taxa showed around 10% to 15% lower prevalence in lung cancer cases compared with controls; however, none of the associations were signifcant after Bonferroni correction for 70 independent tests (Table [3](#page-8-1)). As shown in Supplementary Table 2, a stronger association was observed between the presence of species *Leptotrichia sp._oral_taxon_*225 and lung cancer risk in AAs (OR = 0.28, 95% CI 0.12–0.66; $p = 1.2 \times 10^{-4}$; Bonferroni-corrected $p = 0.006$ for 48 independent effective tests), showing a significant interaction with race $(p=0.019)$. The association of species *Capnocytophaga sputigena* was possibly caused by cancer development, as a more evident association was found for cases diagnosed within two years after mouth rinse sample collection ($OR = 0.26$, 95% CI 0.11–0.61; $p = 7.2 \times 10^{-4}$; Bonferroni-corrected $p = 0.034$) compared with cases who were diagnosed more than two years after mouth rinse sample collection ($OR = 1.06$, 95%) CI 0.43–2.57; Supplementary Table 2).

Associations of pre‑defned periodontal pathogens with lung cancer risk

Among the fve periodontal pathogens, prevalence of *P. intermedia* and *P. gingivalis* was correlated with a Spearman correlation coefficient of 0.72. The prevalence of species *A*. *actinomycetemcomitans* was 10.3% in lung cancer cases and 5.1% in controls, whereas a lower prevalence of the other four pathogens was found in lung cancer cases compared with controls. However, none of the diferences were statistically signifcant (Table [4](#page-9-0)). Compared to controls, a nominally higher prevalence of *A. actinomycetemcomitans* was observed in lung cancer cases among males (13.4% vs. 4.9%; *p*=0.041) and ever-smokers (9.8% vs. 3.8%; *p*=0.021) and a lower prevalence of *T. forsythia* was observed in AA lung cancer cases (56.6% vs. 74.7%; *p*=0.020). However, none of these diferences were signifcant after Bonferroni correction (Supplementary Table 3).

Discussion

In this nested case–control study among a low-income population, we found that abundance of six taxa and prevalence of nine taxa at OTU, genus, or family phylogenetic levels were nominally associated with decreased lung cancer risk. The prevalence of species *L. sp._oral_taxon_225* was associated with decreased risk of lung cancer among AAs, after Bonferroni correction. Our fndings warrant validation in independent large-scale studies to further understand the role of oral microbiota in lung cancer development.

We observed that abundance of six taxa were lower in lung cancer cases compared to controls. Similar patterns were observed in subgroup analyses stratified by race, sex, or whether lung cancer cases were diagnosed within

Table 1 Selected characteristics of lung cancer cases and controls in the Southern Community Cohort Study

Characteristics	Cases $(n=156)$	Controls $(n=156)$	$p^{\rm a}$
Race $(n \, [\%])$			
European American	73 (46.8%)	73 (46.8%)	1.000
African American	83 (53.2%)	83 (53.2%)	
Sex $(n \, [\%])$			
Male	82 (52.6%)	82 (52.6%)	1.000
Female	74 (47.4%)	74 (47.4%)	
Age at sample collection $(n \leq \%)$			
$40 - 49$ years	35 (22.4%)	38 (24.4%)	0.964
$50 - 59$ years	55 (35.3%)	56 (35.9%)	
$60-69$ years	45 (28.8%)	43 (27.6%)	
\geq 70 years	21 (13.5%)	19 (12.2%)	
Body mass index $(n \leq 1)$			
< 18.5	$9(5.8\%)$	$3(1.9\%)$	0.260
$18.5 - 25$	54 (34.6%)	51 (32.7%)	
$25 - 30$	54 (34.6%)	54 (34.6%)	
\geq 30	39 (25.0%)	48 (30.8%)	
BMI $(kg/m2)b$	27.3 ± 6.8	28.3 ± 6.5	0.176
Smoking status $(n \leq \%)$			
Current smoker	90 (57.7%)	90 (57.7%)	1.000
Former smoker	43 (27.6%)	43 (27.6%)	
Never smoker	23 (14.7%)	23 (14.7%)	
Smoking pack-years ^b	39.7 ± 34.4	29.0 ± 22.8	7.1×10^{-4}
Alcohol drinking $(n [\%])$			
None	82 (52.6%)	82 (52.6%)	0.477
Light, <1 drink per day	35 (22.4%)	46 (29.5%)	
Moderate, 1-2 drink/day	$16(10.3\%)$	13 (8.3%)	
Heavy, >2 drinks per day	20 (12.8%)	15 (9.6%)	
Missing	$3(1.9\%)$	$0(0\%)$	
Total energy intake (kcal/day) ^b	$2,517 \pm 1,463$	$2,368 \pm 1,243$	0.296
Healthy eating index ^b	55.2 ± 12.3	56.8 ± 12.8	0.436
Family history of lung cancer $(n \lceil \% \rceil)$			
Yes	22 (14.1%)	$16(10.3\%)$	0.299
No	134 (85.9%)	140 (89.7%)	
Last visit to a dentistry $(n \lceil \% \rceil)$			
<6 months	34 (21.8%)	42 (26.9%)	0.418
$6-12$ months	25 (16.0%)	$25(16.0\%)$	
12-36 months	24 (15.4%)	29 (18.6%)	
\geq 36 months	62 (39.7%)	49 (31.4%)	
Missing	11 (7.1%)	11 (7.1%)	
Teeth lost due to decay or gum disease $(n \leq \%)$			
None	6(3.8%)	6(3.8%)	0.045
1 to 10	$14(9.0\%)$	44 (28.2%)	
>10 , not all	$8(5.1\%)$	30 (19.2%)	
All	14 (9.0%)	17 (10.9%)	
Missing	114 (73.1%)	59 (37.8%)	

^aChi-squared test for categorical variables and paired t test for continuous variables

^bMean \pm standard deviation (SD); smoking pack-years were calculated among ever-smokers

400

 $P = 0.08$

 $P = 0.09$

Fig. 1 Observed operational taxonomic units (OTUs) and oral OTUlevel bacterial diversity in lung cancer cases and controls. **A** Shared and unique OTUs observed among lung cancer cases and controls of European Americans and African Americans. **B** Comparing mean observed OTUs and three alpha diversity indexes (Chao1, PD whole

tree, and Shannon) between lung cancer cases and controls (paired t test, all $p > 0.05$). **C** Principal coordinate analysis (PCoA) of Bray– Curtis beta diversity (*p*=0.93 from PERMANOVA-S, permutation $number=1,000,000$

two years after enrollment. The lower abundance of families *Peptoniphilaceae* (including its genus *Parvimonas* and species *P. micra*), *Peptostreptococcaceae_[XI]*, and *Erysipelotrichaceae* were more evident among ever-smoking cases, whereas enriched in never-smoking cases compared with corresponding controls (Supplementary Table 1), which suggest a strong impact of cigarette smoking [\[37–](#page-11-2)[39\]](#page-11-3). Studies have shown that the genus *Parvimonas* and its species *P. micra* was more abundant in smokers' oral cavity than in non-smokers [\[38](#page-11-4), [40,](#page-11-5) [41\]](#page-11-6). Species *P. micra* within the genus *Parvimonas* and family *Peptoniphilaceae*, a Gram-positive anaerobic cocci species, is commensal in the oral cavity and can cause periodontitis [\[42](#page-11-7)]. It is also related to infections of other organs including lung abscesses [\[43\]](#page-11-8). Oral *Parvimonas* and *P. micra* levels have been reported to be increased in oral squamous cell carcinoma [[44](#page-11-9)[–46\]](#page-11-10) and decreased in colorectal cancer [[47\]](#page-11-11). Although it is possible that oral bacteria such as *P. micra* can translocate to lung and cause cancer, additional studies are warranted to investigate the underlying mechanisms of lung carcinogenesis.

Decreased prevalence of several taxa were also observed in lung cancer cases. Such association pattern remained for most of these taxa when stratifying the participants by race, sex, time to diagnosis, or smoking status. Interestingly, the prevalence of the species *L. sp._oral_taxon_225* was more signifcantly decreased in AA lung cancer cases compared to controls (44.6% vs. 67.5%, $p = 1.2 \times 10^{-4}$), whereas it was slightly decreased in EA cases $(41.1\% \text{ vs. } 43.8\%, p=0.57)$. These results may suggest a possible race-specifc role of this species in lung cancer development. In addition, *L.*

Table 2 Abundance association of oral bacterial taxa with lung cancer risk^a

^aThe taxa had median relative abundance $> 0.01\%$ among control subjects

^bOdds ratios (ORs), 95% confidence intervals (CIs), and *p* values were calculated from conditional logistic regression on normalized taxa counts using centered log-ratio transformation, adjusted for unmatched covariates including smoking pack-years, alcohol drinking status, total energy intake, BMI, last time of dentistry visit, and sequencing batch

Table 3 Prevalence association of oral bacterial taxa with lung cancer risk^a

Taxon	N (%) carriage			
	Cases $(n=156)$	Controls $(n=156)$	OR $(95\% \text{ CI})^b$	$p^{\rm b}$
Phylum Bacteroidetes				
Genus Bacteroidetes_[G-5]	39(25.0)	55 (35.3)	$0.55(0.30-1.00)$	0.044
Species Alloprevotella sp._oral_taxon_912	41(26.3)	56 (35.9)	$0.36(0.17-0.73)$	0.017
Species Capnocytophaga sputigena	66 (42.3)	89 (57.1)	$0.53(0.31-0.92)$	0.021
Phylum Firmicute				
Genus <i>Lactococcus</i>	23(14.7)	39(25.0)	$0.43(0.21 - 0.88)$	0.017
Species L. lactis	23(14.7)	39(25.0)	$0.43(0.21 - 0.88)$	0.017
Genus Peptoniphilaceae_[G-1]	17(10.9)	34(21.8)	$0.43(0.21 - 0.88)$	0.028
Species P_{I} G-1]. sp._oral_taxon_113	17(10.9)	34(21.8)	$0.43(0.19-0.94)$	0.028
Phylum Fusobacteria				
Species Leptotrichia sp. oral_taxon_225	67(42.9)	88 (56.4)	$0.57(0.34 - 0.99)$	0.041
Phylum Synergistetes				
Species Fretibacterium fastidiosum	46(29.5)	66(42.3)	$0.54(0.31-0.94)$	0.026

^aThe taxa had median relative abundance $\leq 0.01\%$ and carriage > 20% among control subjects

^bOdds ratios (ORs), 95% confidence intervals (CIs), and *p* values were calculated from conditional logistic regression with non-carriers as the reference, adjusted for unmatched covariates including smoking pack-years, alcohol drinking status, total energy intake, BMI, last time of dentistry visit, sequencing batch, and sequencing depth

sp._oral_taxon_225 was reportedly signifcantly abundant in dental caries-free children $[48]$ and its affiliated genus *Leptotrichia* was abundant in pancreatic cancer- and liver cancer-free controls [[49,](#page-11-13) [50\]](#page-11-14). Further studies are needed to confrm and disentangle the possible protective role of *L. sp._oral_taxon_225* in lung cancer.

Increasing evidence has shown that oral pathogens can cause chronic infammatory periodontal diseases, which are associated with an increased risk for cancers of oral cavity and other body sites $[8, 9]$ $[8, 9]$ $[8, 9]$ $[8, 9]$. Among five pre-defined oral pathogens, lung cancer cases had a higher prevalence of *A. actinomycetemcomitans* compared to controls (10.3% vs 5.1%). A higher prevalence of *A. actinomycetemcomitans* in oral mouthwash samples has been found to be associated with increased risk of pancreatic cancer [[49](#page-11-13)]. As a keystone pathogen for aggressive periodontitis, *A. actinomycetemcomitans* has been hypothesized to initiate Toll-like receptor signaling pathways [[51](#page-11-15)], which are involved in inflammatory tumorigenesis [[52–](#page-11-16)[54\]](#page-11-17). We observed lower prevalence of other four periodontal pathogens in lung cancer cases. The lower prevalence of these pathogens in cases was unlikely to be caused by the cancer diagnosis, as similar results were also observed in those diagnosed within two years after sample collection.

Table 4 Prevalence association of pre-defned periodontal pathogens with lung cancer risk

^aNumber and percentage of cases and controls carrying the periodontal pathogen

^bOdds ratios (ORs), 95% confidence intervals (CIs), and *p* values were calculated from conditional logistic regression with non-carriers as the reference, adjusted for unmatched covariates including smoking packyears, alcohol drinking status, total energy intake, BMI, last time of dentistry visit, sequencing batch, and sequencing depth

However, the associations of these four pre-defned oral bacterial pathogens with lung cancer risk were not statistically signifcant.

This prospective study, to date, is the largest in sample size to investigate the role of oral microbiome in the development of lung cancer. Other strengths of this study include the nested case–control study design, participants from a low-income population of both African and European ancestries, as well as the ability to match multiple sociodemographic factors and smoking behavior. Several limitations in this study should be pointed out. First, although lung cancer risk association patterns were the same for most microbial bacteria in both EAs and AAs, our study with 312 samples may be underpowered. Second, the present study lacked a systematic assessment of oral health at the baseline examination, when samples were collected. In this study, we used time since last dentistry visit as a surrogate measurement to control for confounding oral hygiene and/or oral disease. Studies among participants with more detailed oral health, including tooth loss, may further clarify the role of oral microbiota in lung cancer. Third, the 16S *rRNA* gene sequencing method and using QIIME V1 instead of QIIME V2 may have a limited accuracy to profle taxa at the OTU/ species level [\[55,](#page-11-18) [56\]](#page-11-19). Further studies using metagenomics approaches and state-of-the-art analysis pipeline are warranted to confrm our fndings.

In summary, we found that multiple oral bacterial families, genera, and species may be associated with risk of lung cancer in a low-income population, although most of the associations were not statistically signifcant after controlling multiple testing. Further studies with larger sample sizes and using metagenomics approaches, as well as in vitro and in vivo studies are warranted to investigate the role of oral microbiota in the development of lung cancer.

Supplementary Information The online version contains supplementary material available at<https://doi.org/10.1007/s10552-021-01490-6>.

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Author contributions WZ, WJB, and QC conceived the study. JS, YY, and JL analyzed the data and drafted the manuscript. HX, XW, JW, RC, XOS, WZ, WJB, and QC contributed resources, collected, and processed samples. All the authors edited and approved the fnal draft.

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Data availability Data and statistical codes used in the present study can be requested through the SCCS Online request System [\(https://ors.](https://ors.southerncommunitystudy.org) [southerncommunitystudy.org](https://ors.southerncommunitystudy.org)).

Declarations

Conflict of interest The authors have declared no conficts of interest.

Ethical approval The Southern Community Cohort Study was reviewed and approved by the institutional review boards at Vanderbilt University and Meharry Medical College.

Consent to participate Written informed consent was obtained from all individuals, and the research was performed by the principles of the Helsinki Declaration.

Patient consent for publication All study participants provided written informed consent for the publication of any data and associated images.

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